Screening and Diagnosis of Lewy Body Disease

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What is Lewy Body Dementia

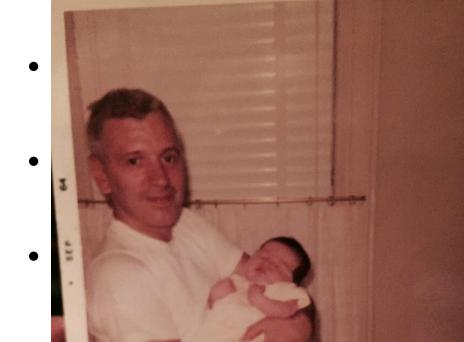
- 2nd most common cause of dementia after AD
 - Causes 10-12% of irreversible dementia
 - Lewy bodies (LBs) found in up to 40% of autopsied brains
- Includes Dementia with Lewy Bodies (DLB) and Parkinson's Disease Dementia (PDD)
 - PDD: Movement Disorder begins 1st, at least 2 years before cognitive
 - DLB: Any other pattern
- More common in men
- May have faster decline than AD
- The combined sum of patients Lewy body dementia is estimated at 1.4 million
- Often significant delay to diagnosis and treatment
 - Commonly misdiagnosed as late-onset psychiatric disorder

LBD Epidemiology (PDD)

- Point prevalence of dementia in PD is close to 30%
- Incidence rate is increased at 4-6 times relative to controls
- At least 75% of PD patients who survive more than 10 years likely to develop dementia
- Mean time from onset of PD to dementia is approximately 10 years
- Old age, more severe motor symptoms (in particular, gait and postural disturbances), mild cognitive impairment at baseline, and visual hallucinations

LBD Epidemiology (DLB)

- Prevalence estimates of DLB range from 0% to 5% in the general population and from 0% to 30.5% of all dementia cases
- Incidence rates of 0.1% in the general population, and 3% for all new dementia cases
- A recent review examined 22 studies and reported incidence rates between 0.5 to 1.6 per 1000 person-years, accounting for 3-7% of dementia cases.
- Prevalence estimates ranged from 0.02-63.5 per 1000, higher with increasing age.



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Parkinson Disease Dementia

- Develops in the context of established PD
 - At least 2 years after a diagnosis of PD
 - Impairment in more than one cognitive domain
 - Attention, executive, visuospatial, memory, language
 - Decline from premorbid level
 - Deficits severe enough to impair daily life
- Exclusion of other dementias
- MMSE below 26 or Impairment in at least two of the following:
 - Months reversed or Seven backward
 - Lexical (category) fluency or Clock drawing
 - MMSE Pentagons
 - 3-Word recall
- Supportive features: apathy, depression, delusions, or daytime sleepiness.

Revised criteria for the clinical diagnosis of probable and possible DLB

Essential for a diagnosis of DLB is dementia, defined as a progressive cognitive decline of sufficient magnitude to interfere with normal social or occupational functions, or with usual daily activities. Prominent or persistent memory impairment may not necessarily occur in the early stages but is usually evident with progression. Deficits on tests of attention, executive function, and visuoperceptual ability may be especially prominent and occur early.

Core clinical features (the first 3 typically occur early and may persist throughout the course)

- Fluctuating cognition with pronounced variations in attention and alertness
- Recurrent visual hallucinations that are typically well-formed and detailed
- REM sleep behaviour disorder, which may precede cognitive decline
- One or more spontaneous cardinal features of parkinsonism: bradykinesia (defined as slowness of movement and decrement in amplitude or speed), rest tremor, or rigidity

Supportive clinical features

- Severe sensitivity to antipsychotic agents
- Postural instability
- Repeated falls
- Syncope or other transient episodes of unresponsiveness
- Hypersomnia
- Hyposmia

- Severe autonomic dysfunction, eg, constipation, orthostatic hypotension, urinary incontinence
- Hallucinations in other modalities
- Systematized delusions
- Apathy, anxiety, and depression

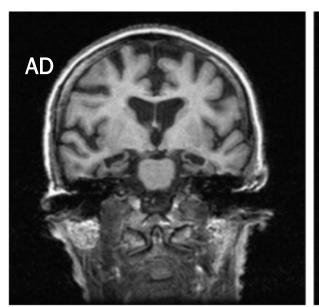
Indicative Biomarkers

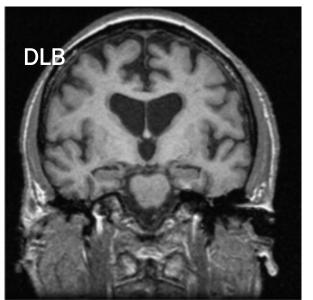
- Reduced dopamine transporter uptake in basal ganglia by PET or SPECT
- Abnormal (low) uptake MIBG myocardial scintigraphy
- Polysomnographic confirmation of REM sleep without atonia

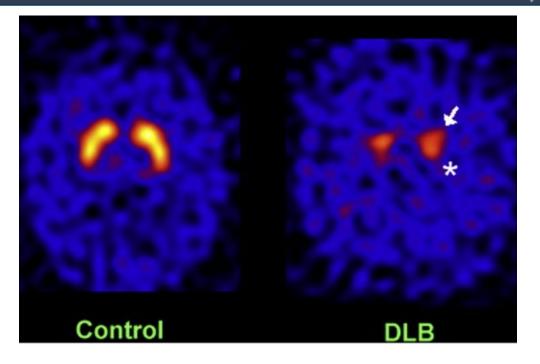
Supportive Biomarkers

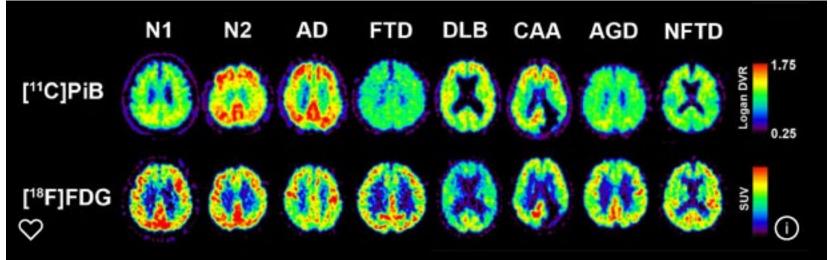
- Relative preservation of medial temporal lobe structures on MRI/CT
- Generalized low upatake on SPECT/PET with reduced occipital activity +/cingulate island sign on FDG-PET
- Prominent posterior slow wave activity on EEG

- Similar to AD and PD, there are likely a prodromal phases of LBD
 - Movement
 - Sleep
 - Cognitive
 - Delirium
 - Psychiatric or Behavioral
 - Autonomic
- Prodromal stages may begin years to decades before full manifestation of LBD
- No clinical or research criteria are yet published
- Research criteria are being proposed but need to be validated before use in clinical practice
- Biomarkers are likely to be critical to demonstration and validation









Movement Problems

- Bradykinesia
- Rigidity
- Postural instability with repeated falls
- Slow, shuffling gait
- Myoclonus
- Rare rest tremor but may have postural or action tremor

Cognitive Problems

- Visual tracking and attention
- Visual-spatial and perceptual
- Verbal and motor initiation
- Clock drawing and block design (construction)
- Timed attention tasks
- Executive tasks



- Visual Hallucinations
- Hallucination in other modalities
- Delusions
- Depression
- Anxiety
- Apathy
- REM Sleep behavior disorder
- Cognitive fluctuations

Autonomic/Constitutional Problems

- Loss of Smell
- Constipation
- Urinary incontinence
- Drooling
- Runny nose
- Dizziness and lightheaded
- Abnormal sweating
- Sexual dysfunction
- Oily flaky skin

Frequency of LBD Features

	Likelihood of LBD						
Characteristic (%)	Low	Possible	Probable				
Parkinsonism	8.3	53.8	100				
Bradykinesia	12.5	61.5	100				
Rigidity	O	7.7	100				
Tremor	O	7.7	33.3				
Postural Instability	4.2	38.5	88.9				
Hallucinations (any)	4.2	7.7	66.7				
Fluctuations	33.3	59.5	93.5				
RBD	4.3	22.2	36.7				
Falls	23.3	47.6	83.3				
Depression	23.5	28.6	52.0				
Anxiety	22.1	25.7	32.0				

	AD	LBD	bvFTD	VaD	Depression
Episodic Memory					
Free recall	+++	++	+/-	+	+
Recognition	+++	•	-	-	-
Prompting	X	\checkmark	\checkmark	\checkmark	V
Intrusions	+++	+++	+++	+	+
Semantic memory	++	+	+	+	+/-
Procedural memory	-	+	-	+	+
Working memory	++	+++	+++	++	+/-
Insight	+++	+	+++	•	-
Attention	++	+++	++	++	+++
Executive functions	++ typical AD	+++	+++	+++	++
	+++ frontal variant				
Visuospatial skills	++ typical AD	+++	-	+	+
	+++ PCA				

+++ Early and severe impairment; ++ moderate impairment; + mild impairment; +/- impairment in some studies but not others; - no significant impairment; x not helpful.

Cognitive Fluctuations

- Mayo Fluctuations Questionnaire administered to the informant who responded yes or no to four questions:
 - Daytime Somnolence: drowsiness and lethargy all the time or several times a day despite getting enough sleep the night before
 - Sleeps > 2hrs: daytime sleep of 2 or more hours before 7 pm
 - Illogical, Disorganized thinking: times when the patient's flow of ideas seems disorganized, unclear, or not logical
 - Staring Spells: staring into space for long periods
- Affirmative responses to 3 or more items suggests fluctuation



	CDR			Individual Fluctuation Variables							
		Composite	Drowsy	Sleeps > 2 hrs	Illogical, Disorganized thinking	Stares					
Cognitive Compos	Cognitive Composite Scores										
Global	-0.564***	-0.142**	-0.123*	-0.214***	-0.283***	-0.083					
Episodic memory	-0.584***	-0.111*	-0.127**	-0.154**	-0.203***	-0.104*					
Semantic memory	-0.499***	-0.120**	-0.092*	-0.158**	-0.205***	-0.083					
Visuospatial	-0.507***	-0.190***	-0.128**	-0.237***	-0.283***	-0.122**					
Working memory	-0.348***	-0.137**	-0.037	-0.129**	-0.223***	-0.046					

P-value < 0.05*, <0.01**, <0.0001***

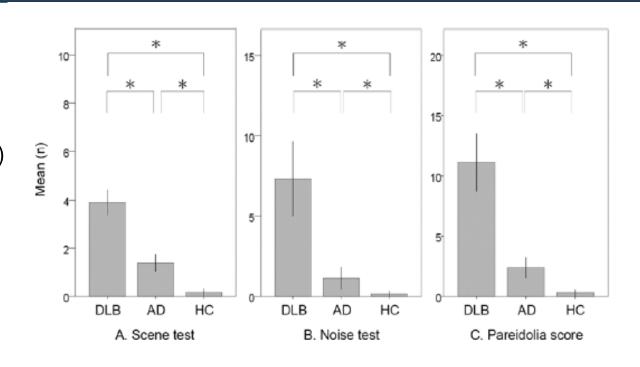
Multiple Regression Models

- Cognitive fluctuations correspond to a decrease in the global (0.59), episodic (0.74), semantic (0.61), visuospatial (0.90) and working memory (0.47) scores
- Explains 3-11% of the variance in neuropsychological test performance

New Diagnostic Tests

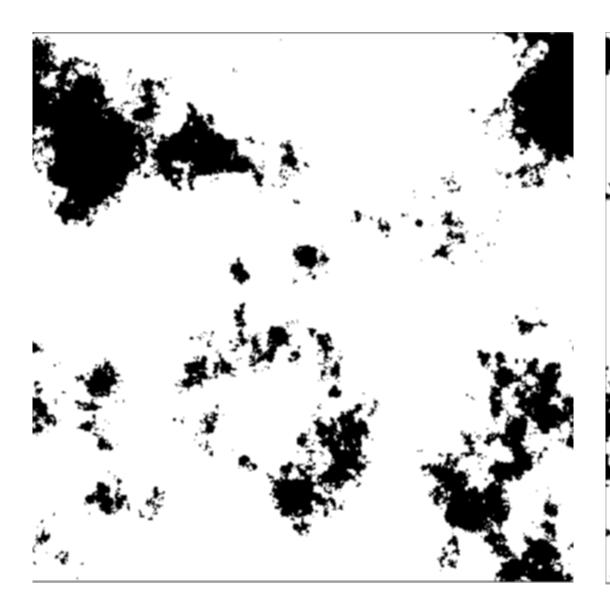
<u>Noise-Pareidolia</u>

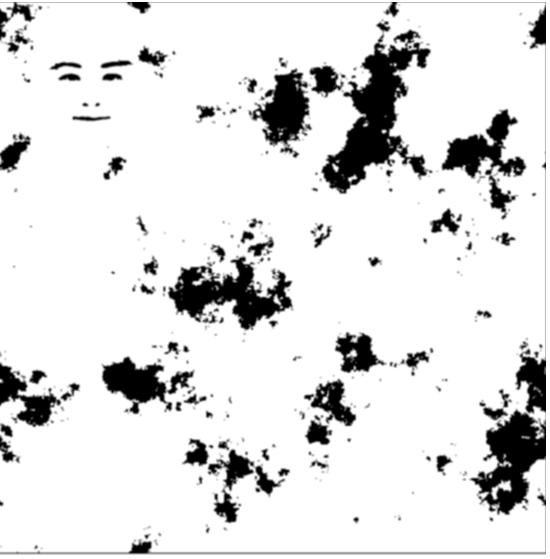
- Yokoi et al, 2014; Mamiya et al 2016
- There are two types of images:
 - An array of ink blots with a facial image (Scene)
 - An array of ink blots with no facial image (Noise)
- Responses are recorded
 - Is there a face: Yes or No.
 - Point to where the face is
- The scores are based on the number of:
 - Correct answers: "Yes" when there is a face or "No" when there is no face
 - Pareidolia: "Yes" when there is no face or "Yes" when there is a face but points to wrong spot
 - Missed responses: "No" when there is a face
- Short Form: 20 Items (13 Foils, 7 Faces)
- Each panel 30 seconds (10 minutes max)



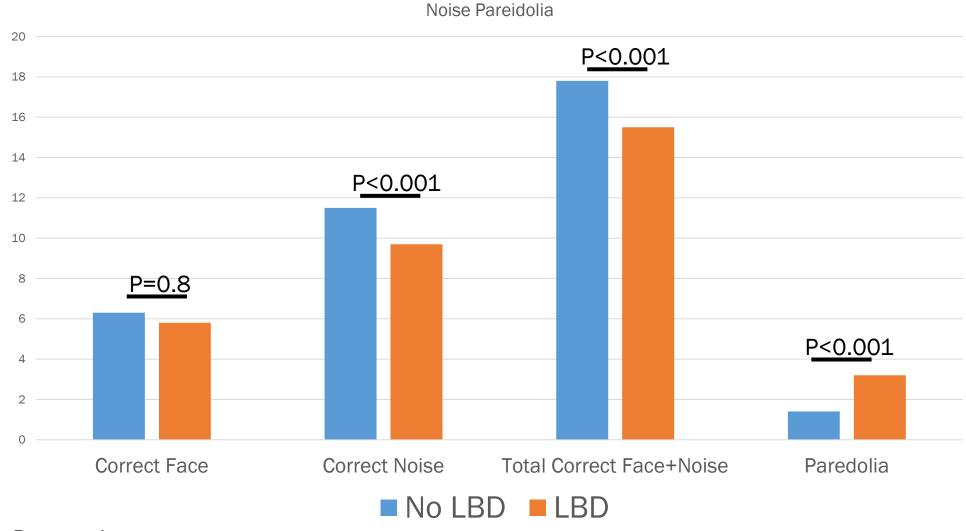
Differentiation between DLB and AD

	Scene Test	Noise Test	Pareidolia Score
Sensitivity	0.92	0.60	0.81
Specificity	0.58	0.92	0.92
ROC AUC	0.86	0.82	0.92
Cut-Off Score	1/2	2/3	4/5









Proxy Markers of Basal Ganglia Dysfunction

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					(2		suits Gain%)	Saccades (Peak Velocity)			')	Saccad (Laten			
UP	DRS	6					36)01)			-0.4 <.00		ı		0.19 (.05	
LB	CRS	•				_	.17)9)			0.3 00.)				0.22 (.01	
Kir	าg-D	evic	k				37 L6)			-0.5 (.03				-0.72 (.001	
	ise reid	olia			0.32 (.003)				0.3 00.)				-0.50 (<.00		
1	4		7		•	6	3	4		5			3	5	9
7		9			3	9	0	3	1			6	5	9	4
4	5		2		1		7	9	1		3	4	6	8 3	5
5		3		7	4		8	4		3			5	2	7
7	4		6		5		2								
9		0		2	3		6								
Test II										Tes	t III				

Computerized Gait Analyses

- Develop a new metric of null
- FI captures patients' norm
- Reproducible objective ma
- The FI increased significan and dementia (1.9±0.5).
- ROC analyses: AUC 0.703 (p<.001).
- A cut-off of 1.65 provides a sensitivity of 72.4% to detect individuals with cognitive impairment

Table 3: Cognitive Performance by Festination Index Cut-off of 1.65								
	<1.65	>1.65	*P-value					
MoCA	20.5 (5.3)	16.3 (6.4)	<.001					
Numbers Forward	6.8 (1.8)	6.6 (1.3)	.03					
Numbers Backward	4.8 (1.7)	3.9 (1.7)	.02					
Animal Naming	16.2 (6.2)	10.4 (5.2)	<.001					
15-item MINT	13.9 (2.6)	12.6 (3.8)	<.001					
HVLT – Delay	4.2 (3.5)	2.6 (3.2)	.003					
HVLT – Recognition	9.4 (2.6)	8.0 (3.2)	.003					
Trails A, sec	53.6 (37.8)	77.7 (52.7)	.08					
Trails B, sec	109.9 (51.8)	130.4 (46.2)	.006					
Number-Symbol	31.6 (12.9)	26.9 (11.2)	.01					
Noise Pareidolia, errors	1.4 (2.3)	2.2 (3.2)	.1					
King-Devick	61.0 (19.6)	61.8 (22.3)	.9					
Z-score	.233 (0.4)	310 (0.4)	<.001					
CDR-SB	2.5 (2.4)	5.5 (4.5)	<.001					
*Adjusted for Age and Education								

Clinical Predictors of LB Pathology

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Clinical Predictor	Preser	nt at any time	Present at 1s	^t visit
	OR	95% CI	Log Rank	p-value
Male gender	1.50	1.01-2.38	2.40	.08
Any EPS	2.50	1.64-3.82	24.50	<.001
Cognitive Fluctuation	4.98	1.63-15.15	4.66	.031
Visual Hallucinations	8.93	2.31-34.50	22.88	<.001
Auditory Hallucination	11.76	1.66-83.30	11.46	.001
Neuroleptic Sensitivity	3.75	1.05-13.30	8.02	.005
Myoclonus	3.90	1.27-12.05	14.75	<.001
Depression	1.81	1.16-2.82	7.51	.007
Sleep Disturbances	1.98	1.33-2.94	8.66	.003

Clinical features associated with AD such as aphasia, apraxia, agnosia not associated

Lewy Body Composite Risk Score

Please rate the following symptoms as being present or absent for at least	Yes	No
3 times over the past 6 months. Does the patient		
Have slowness in initiating and maintaining movement or have frequent		
hesitations or pauses during movement?		
Have rigidity (with or without cogwheeling) on passive range of motion in any of the 4 extremities?		
Have a loss of postural stability (balance) with or without frequent falls?		
Have a tremor at rest in any of the 4 extremities or head?		
Have excessive daytime sleepiness and/or seem drowsy and lethargic when awake?		
Have episodes of illogical thinking or incoherent, random thoughts?		
Have frequent staring spells or periods of blank looks?		
Have visual hallucinations (see things not really there)?		
Appear to act out his/her dreams (kick, punch, thrash, shout or scream)?		
Have orthostatic hypotension or other signs of autonomic insufficiency?		
TOTAL SCORE		

		Dementia			
	Demographics and	AD	LBD	p-value	
	Global Ratings	(n=91)	(n=48)		
	Age, y	79.9 (7.9)	78.5 (7.8)	.30	
	Gender, %M	37.4	60.4	.009	
	Education, y	15.2 (3.9)	14.6 (3.5)	.45	
	CDR-SB	5.9 (3.4)	8.8 (5.1)	<.001	
	CDR	0.9 (0.5)	1.5 (0.9)	<.001	
	Charlson Comorbidity Index	2.3 (1.3)	2.3 (1.4)	.98	
	Systolic BP, sitting, mm Hg	133.4 (18.9)	124.9 (23.6)	.03	
	Mean Arterial Pressure, sitting	94.5 (11.2)	89.4 (15.2)	.03	
	Systolic BP, standing, mm Hg	133.2 (18.7)	122.7 (23.9)	.01	
	Mean Arterial Pressure, standing	94.3 (11.1)	89.5 (16.8)	.08	
	Body Mass Index	25.0 (4.8)	25.4 (4.6)	.67	
	Mini-PPT	9.8 (2.5)	8.4 (3.2)	.03	
	UPDRS III	7.5 (9.1)	35.6 (23.3)	<.001	
	Hoehn and Yahr Stage	0.5 (1.2)	2.8 (1.5)	<.001	
	FAQ	10.3 (8.7)	17.4 (9.9)	<.001	
	NPI-Q	7.7 (5.7)	11.3 (5.7)	.001	
	Mayo Fluctuation Questionnaire	1.6 (1.1)	2.9 (0.9)	<.001	
	Epworth Sleepiness Scale	6.8 (4.8)	9.8 (5.3)	.001	
	Alertness Rating	7.2 (2.0)	5.6 (1.8)	<.001	
	LBCRS	2.4 (1.3)	6.2 (2.1)	<.001	
Galvin JE, Alz Dement	င့်ဝှုန်၅'s d (Effect Size correlation)	2.1	7 (r=0.736)		

DIAMOND LEWY Toolkit

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Assessment Toolkit for Dementia with Lewy Bodies

Nan	ne:	Date of testing:	
Dat	e of birth:	Tester's name:	
NHS	S No:	Informant:	
feat pos	ures of dementia with Lewy bodies (DLB) at	with cognitive decline. Below are the diagnostic two levels of confidence (probable DLB and pecific questions to assist in the identification of	
DL	B Diagnostic Criteria	Tick	
1	Clinician diagnosis of dementia (cognitive o social/occupational function).	decline sufficient to interfere with	7
2	Use screening questions below to cover the hallucinations, RBD and parkinsonism.	e four domains of: cognitive fluctuation, visual	
	Using your experience identify how many c (see below):	ore and biomarker features of DLB are present	
3	Core clinical features		4
	Fluctuation in cognition		4
	Recurrent visual hallucinations		4
	REM sleep behaviour disorder		4
	One or more features of spontaneous p	arkinsonism	┙
4	Indicative Biomarkers		4
	Dopaminergic abnormalities in basal ga	inglia on SPECT/PET	4
	Low uptake on MIBG myocardial scintig		╛
	Polysomnography (PSG) confirmation of	of REM sleep without atonia	┙
	gnose Probable DLB if either 2 core features narker feature.	s are identified or 1 core and 1 indicative]
whe	gnose Possible DLB if any one feature is pro ther to refer subject for a dopaminergic SPE ending on local availability.		
Oth	ner Diagnoses		
Par	kinson's Disease Dementia (PDD) (PD >1 yr	before cognitive symptoms)	╛
Alzł	neimer's Disease		┙
Oth	er Dementia		⅃
MC			_
Pati	ent informed of diagnosis.	Yes No	1

Questions to Identify Symptoms of DLB

Please respond to each of the questions below, asking carer or patient as appropriate.

Cognitive Fluctuation (to carer)

If two or more of these are answered 'Yes' the subject is highly likely to have cognitive fluctuation

nuc	tuation			
1	Does the patient show moderate changes in their level of functioning during the day?	Yes	No	
2	Between getting up in the morning and going to bed at night, does the patient spend more than one hour sleeping?	Yes	No	
3	Is the patient drowsy and lethargic for more than one hour during the day, despite getting their usual amount of sleep the night before?	Yes	No	
4	Is it moderately difficult to arouse the patient so they maintain attention through the day?	Yes	No	

REM Sleep Disorder

(to carer = bed partner)

Have you ever seen the patient appear to "act out his/her dreams" while sleeping (punched or flailed arms in the air, shouted or screamed)?

Yes

No

If answered affirmatively, then RBD is highly likely to be present

REM Sleep Disorder

(to patient only if no bed partner and they have sufficient cognitive ability to be confident their answer is reliable)

Have you ever been told that you seem to "act out your dreams" while sleeping (punched or flailed arms in the air, shouted or screamed)?

Visual Hallucinations

For the participant: Some people see things that other people cannot see.

1	Do you feel like your eyes ever play tricks on you?	Yes	 No	
2	Have you ever seen something (or things) that other people could not see?	Yes	No	

For the carer:

	1	Does the patient have hallucinations such as seeing false visions?	Yes	No	
	2	Does he / she seem to see things that are not present?	Yes	No	

If, according to clinical judgement, visual hallucinations are present, determine as far as possible their frequency and recurrence. As a guide, visual hallucinations associated with DLB should not only occur during delirium, and are often recurrent over a period of months.

Assessment of Parkinsonism (5-item UPDRS)

Parkinsonism in DLB requires the presence of at least one of bradykinesia, rest tremor or rigidity. The 5-item UPDRS is a brief and validated scale for identifying parkinsonism in DLB (See below for further details)

Normal	No tremor.	0	Г	
Slight	Tremor is present but less than 1 cm in amplitude.	1	\top	
Mild			\top	
Moderate Tremor is at least 3 but less than 10 cm in amplitude.		3	\top	
Severe	Tremor is at least 10 cm in amplitude.	4	\top	
KINFTIC T	REMOR OF THE HANDS			
Normal	No tremor.	0	\Box	_
Slight	Tremor is present but less than 1 cm in amplitude.	1	\vdash	
Mild	Tremor is at least 1 but less than 3 cm in amplitude.	2	\top	_
Moderate			\vdash	
Severe			\top	
	XPRESSION			
Normal	Normal facial expression.	0		_
Slight	Minimal masked facies manifested only by decreased frequency of blinking.	1	T	
Mild	In addition to decreased eye-blink frequency, masked facies present in the lower face as well, namely fewer movements around the mouth, such as less spontaneous smiling, but lips not parted.	2	Γ	
Moderate	Macked facine with line parted some of the time when the mouth is		Τ	
Severe	Masked facies with lips parted most of the time when the mouth is at rest.			
GLOBAL S	SPONTANEITY OF MOVEMENT (BODY BRADYKINESIA)			
Normal	No problems.	0	Г	
Slight	Slight global slowness and poverty of spontaneous movements.		┰	
Mild	Mild global slowness and poverty of spontaneous movements.		┰	
Moderate			Г	
Severe			Г	
RIGIDITY				
Normal	No rigidity.	0	Г	
Slight	Rigidity only detected with activation manoeuvre.	1	┰	
Mild	Pigidity detected without the activation manageurs, but full range of			
Moderate	Pigidity detected without the activation manageure: full range of			
Severe	vere Rigidity detected without the activation manoeuvre and full range of motion not achieved.			
Total 5-iter	m UPDRS Score =		Ē	=
	onism present? (Use clinical judgement but for guidance a suggests significant parkinsonism is present, though a high		No	Γ

score (>2) in a single domain may be sufficient to meet criteria)

LBD Module for NIA-ADRC Program

Goals

- Develop a companion module to the Uniform Data Set (UDS) to improve characterization of DLB and PDD
- Harmonize efforts with those of the Movement Disorder Society efforts to characterize the non-motor features of Parkinson's disease
- Capitalize on previous efforts to create a FTD module
- Standardize battery of clinical and cognitive tools for DLB and PDD that can be databased at NACC and shared amongst investigators.

Requirements

- Choose instruments and measurements from each workgroup
- Harmonize new data with variables captured as part of UDS 3.0
- Capture prodromal symptoms
- Instruments or measurements selected should be free of licensing fees or that an agreement is in place to make their use free
- Not burden sites

Autonomic Symptoms

- Constitutional symptoms
- Decrease quality of life
- Among the most disturbing symptoms to patients
- May begin decades before cognitive, motor, or behavioral symptoms

In the past six months, does the patient	Control N=15	AD N=92	DLB N=95
Dribble saliva during the day	0	0	15.0
Have difficulty swallowing	0	5.6	15.0
Have increased interest in sex	8.3	2.9	11.8
Have decreased interest in sex	33.3	26.9	36.0
Have problems having sex	58.3	23.4	57.7
Have a recent change in weight (not related to dieting)	0	19.4	34.6
Report a change in the ability to taste	16.7	15.3	25.0
Report a change in the ability to smell	16.7	13.9	22.5
Experience excessive sweating (not related to hot weather)	8.3	5.5	10.1
Report having difficulty tolerating cold weather	33.3	40.3	48.8
Report having difficulty tolerating hot weather	25.0	8.2	15.0
Experience double vision (2 separate real objects and not blurred vision)	16.7	1.4	6.3
Have difficulty digesting food or a sensation of feeling full long after last meal	8.3	9.7	20.3
Have problems with constipation	8.3	22.5	38.5
Have to strain hard to pass stools	8.3	22.9	33.8
Had involuntary loss of stools	33.3	12.5	21.5
Had the feeling that after passing urine their bladder not completely empty	33.3	20.6	37.7
Report their stream of urine weak or reduced	25.0	19.7	32.9
Have to pass urine within 2 hours of previous urination	50.0	42.0	53.3
Have involuntary loss of urine	33.3	33.3	36.8
Complain of feeling lightheaded or dizzy when standing up	8.3	23.6	49.4
Become lightheaded after standing for some time		9.7	21.3
Have fainting spells	0	8.5	11.5

- U01 from NINDS (part of the PDBP)
- Cleveland Clinic is primary site
- Miami, Rush, UNC, UPenn, UPitt, UCSD, Thomas Jefferson, UWashington
- 5-Year Longitudinal Study
- Clinical-cognitive-behavioral evaluations
- MRI
- DAT
- LP
- Autopsy

- 24 research centers across the country
 - 17 States and District of Columbia
- Excellence in Clinical Care and Research
- Form Clinical Trials Network
- Mayo Clinic Rochester is the Coordinating Center
- *U-Miami,* Cleveland Clinic, Columbia, Emory,, Georgetown, Mass General, Johns Hopkins, Mayo Clinic-Jacksonville, Ohio State, Oregon, Rush, Stanford, Thomas Jefferson, UC-San Diego, U-Colorado, U-Florida, U-Michigan, UNC-Chapel Hill, U-Penn, U-Rochester, U-Virginia, U-Washington

- The Lewy body dementias
 - PDD and DLB differ only by timing of movement disorder
 - While clinical criteria lack sensitivity, they are highly specific and correlated strongly with pathology
- For the present time, treatments are largely symptomatic
- We are spearheading novel research
 - Improving clinical practice
 - Improving diagnosis
 - Improving lives of patients and their caregivers
 - Developing new medications