

**MCI 2017 – January 14-15, 2017**

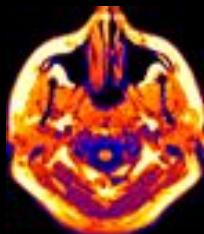
Miami Beach, FL

**Mount Sinai**  
MEDICAL CENTER

**15<sup>th</sup> ANNUAL MCI SYMPOSIUM**

**Session 3: Sleep Disorders and MCI**

# Poor Sleep Before Dementia: A Risk Factor for Cognitive Decline and Clinical Conversion?



**Bryce Mander, PhD**  
**Sleep and Neuroimaging Laboratory**

*Department of Psychology &  
Helen Wills Neuroscience Institute  
University of California, Berkeley*



# Conflict of Interest Disclosures

**Presenter: Bryce Mander**

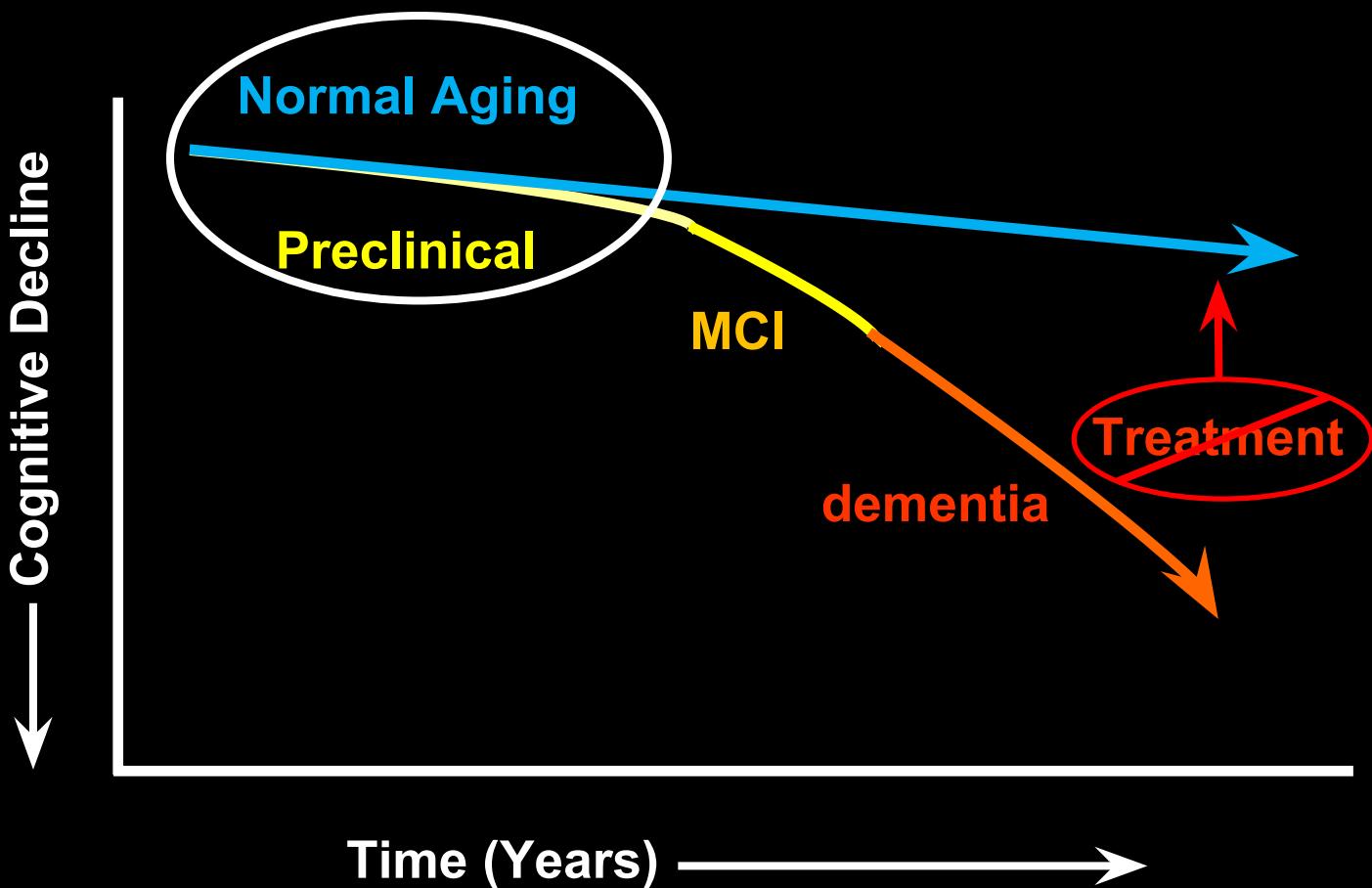
1. The authors do not have any potential conflicts of interest to disclose, **OR**
2. The authors wish to disclose the following potential conflicts of interest:

Type of Potential Conflict	Details of Potential Conflict
Grant/Research Support	
Consultant	
Speakers' Bureaus	
Financial support	
Other	

3. The material presented in this lecture has no relationship with any of these potential conflicts, **OR**
4. This talk presents material that is related to one or more of these potential conflicts, and the following objective references are provided as support for this lecture:

- 1.
- 2.
- 3.

# What Causes Unsuccessful Cognitive Aging?



Could sleep be one factor?

# EEG changes dramatically with brain state

WAKE

Eyes open, alert

Eyes closed, drowsy

Stage 1

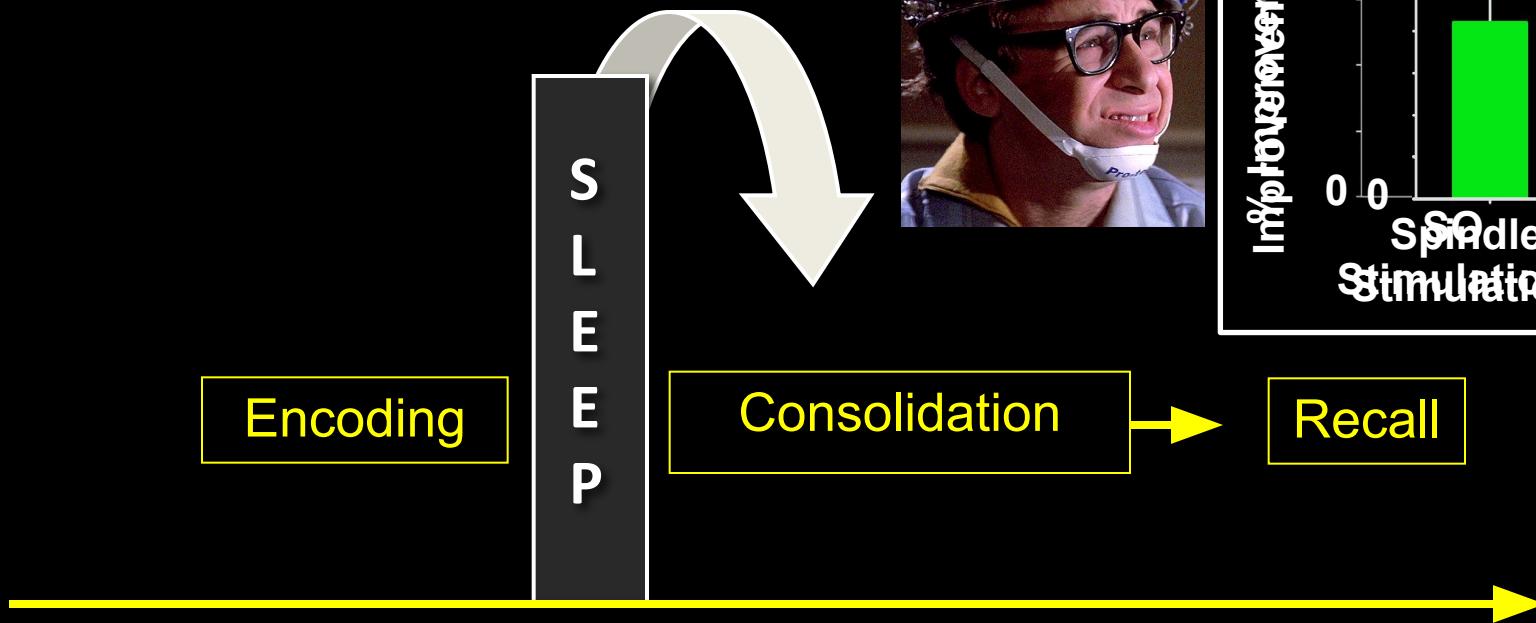
Stage 2

NREM

Slow Wave Sleep

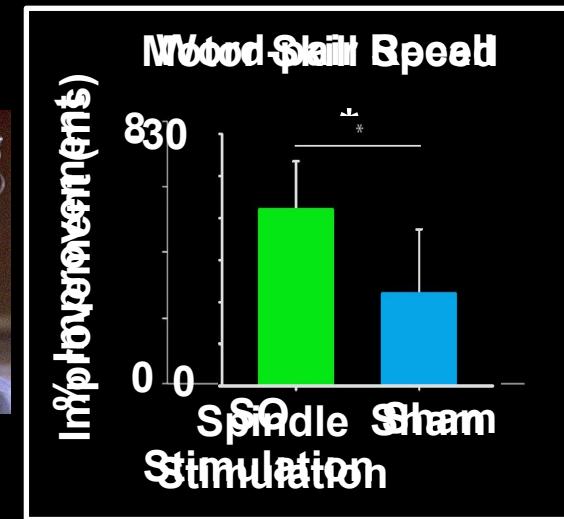
REM

# Why do we care about NREM slow waves and sleep spindles?

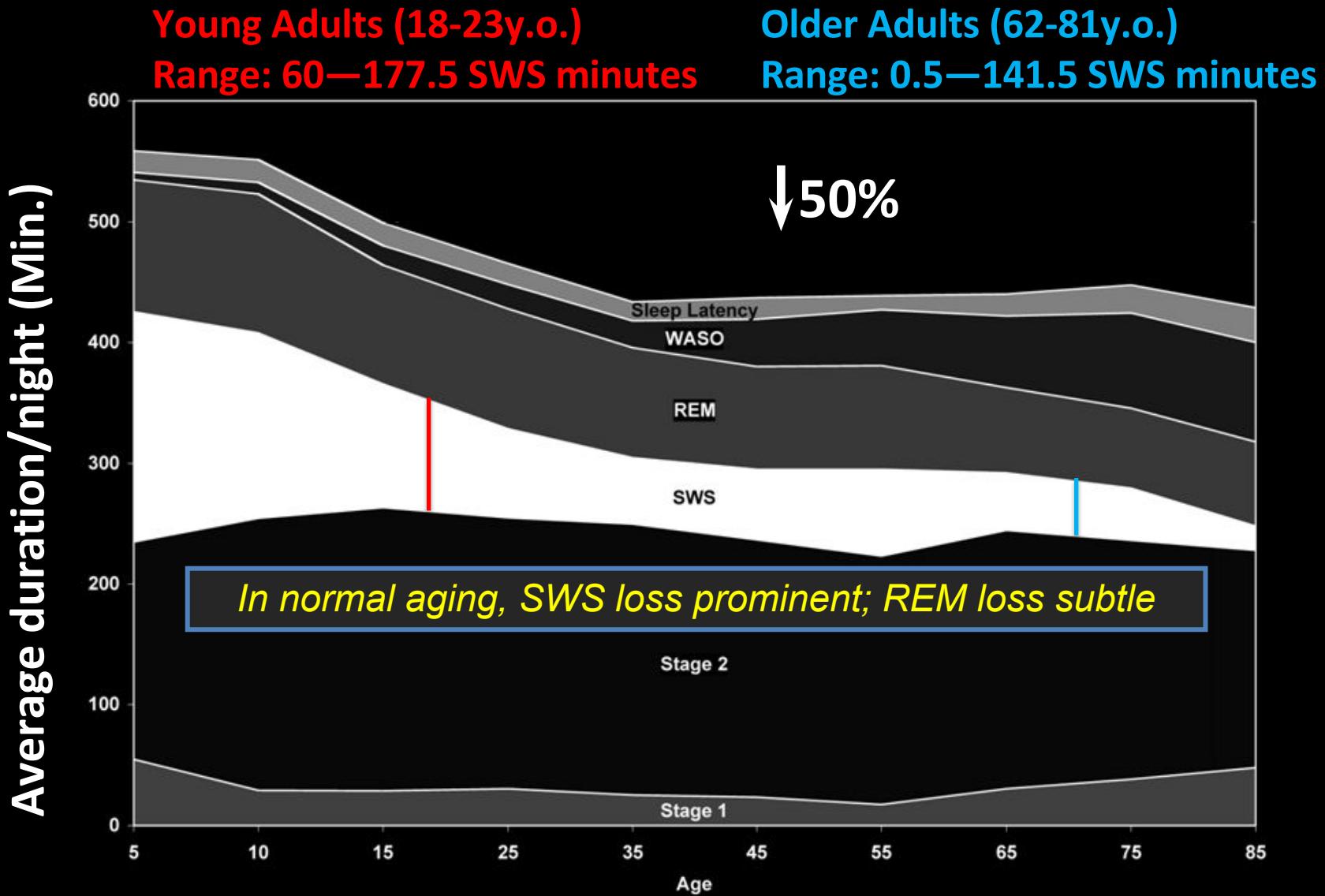


SWS & Slow waves (<1Hz)  
Huber et al, 2004  
Mander et al, 2013, 2015  
Marshall et al, 2004, 2006  
Plihal et al, 1999  
Rasch et al, 2007  
Rudoy et al, 2009  
Westerberg et al, 2015  
Chauvette et al, 2012  
Ladenbauer et al, 2016

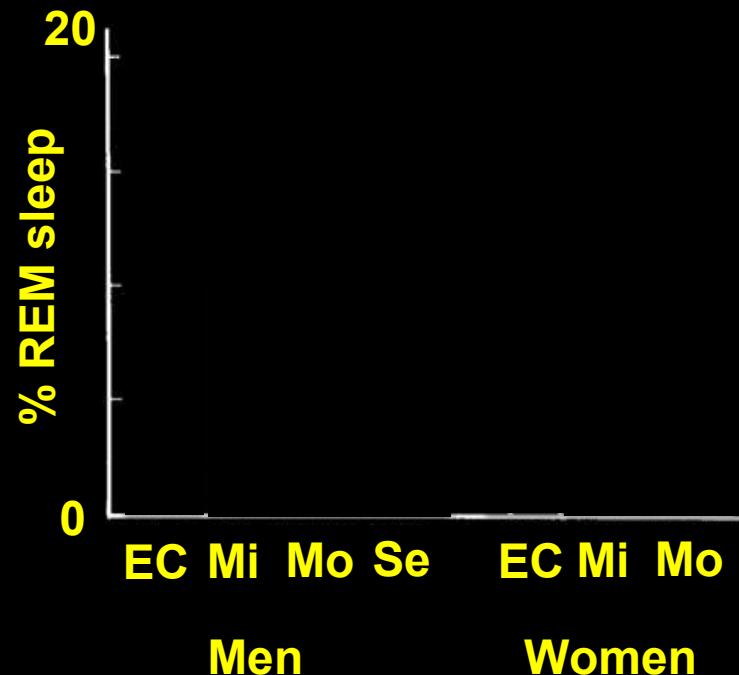
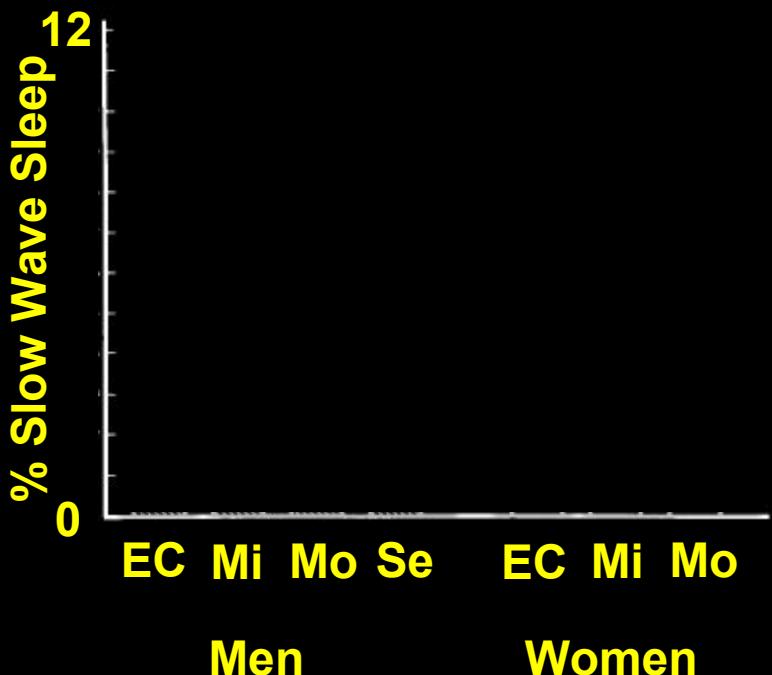
Fast sleep spindles (>13Hz)  
Gias et al, 2002  
Fogel et al, 2009  
Saletin et al, 2011  
Nishida et al, 2007  
Morin et al, 2008  
Barakat et al, 2011, 2013  
Fogel et al, 2013  
Lustenberger et al, 2016  
Mander et al, 2016 under revision



# Sleep changes across the lifespan



# Sleep in Alzheimer's Disease

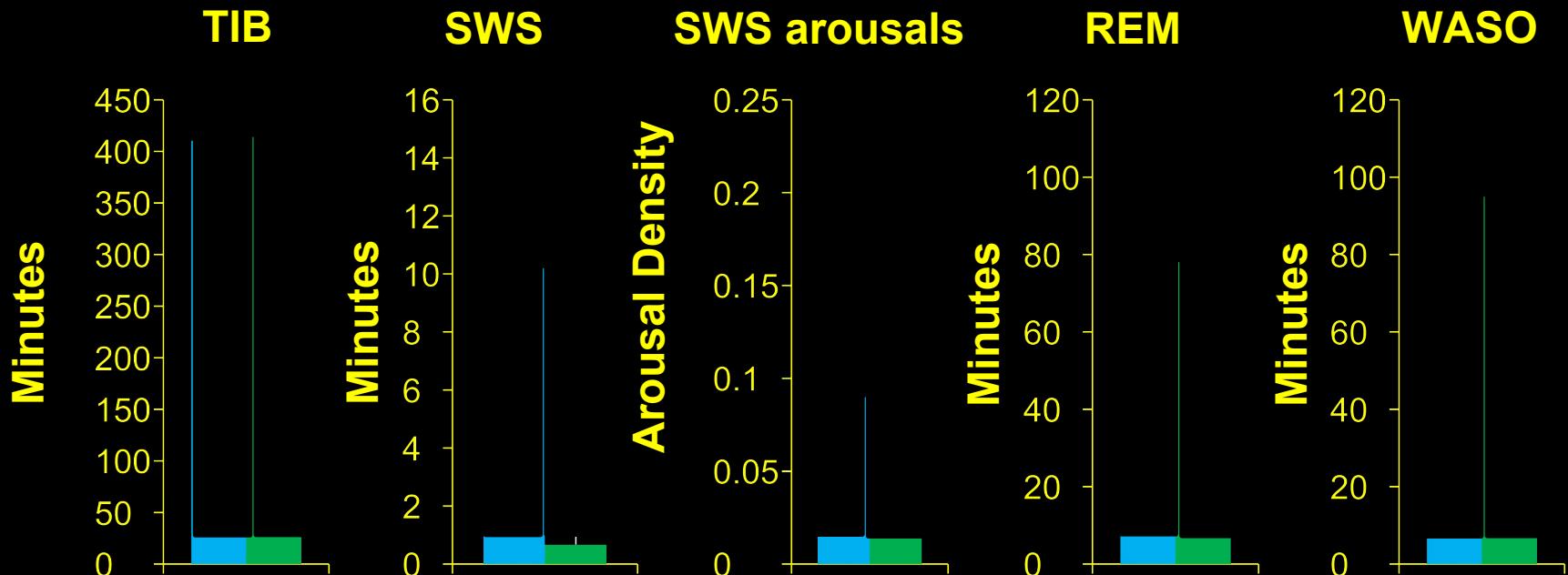


*Lost SWS and REM sleep replaced with sleep fragmentation and more time awake*

From Prinz et al, 1982

# Sleep in Mild Cognitive Impairment

Normal Controls  
aMCI Patients

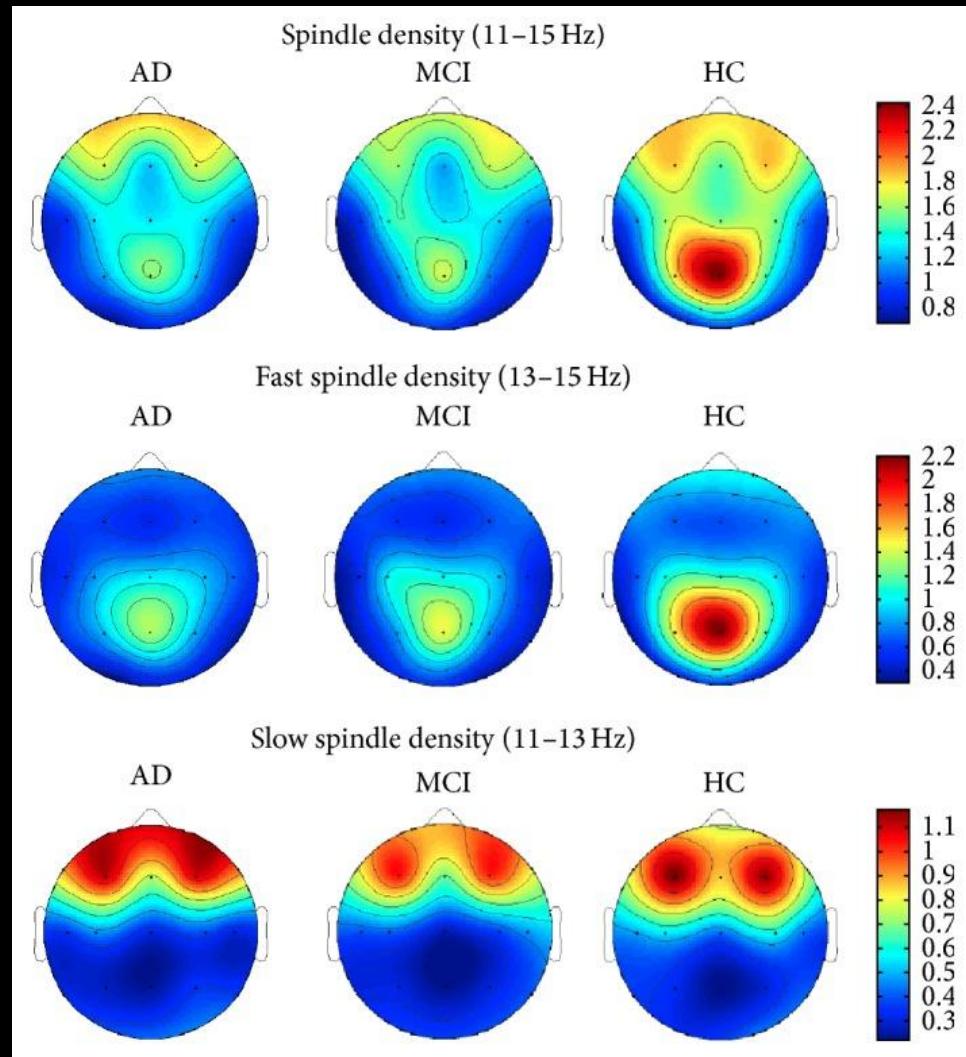
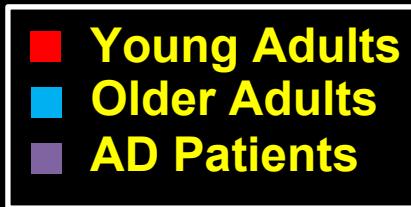


*In aMCI, SWS and REM sleep are reduced and sleep is more fragmented*

Adapted from Westerberg et al, 2012  
and Hita-Yanez et al, 2012

# Sleep spindles in AD and MCI

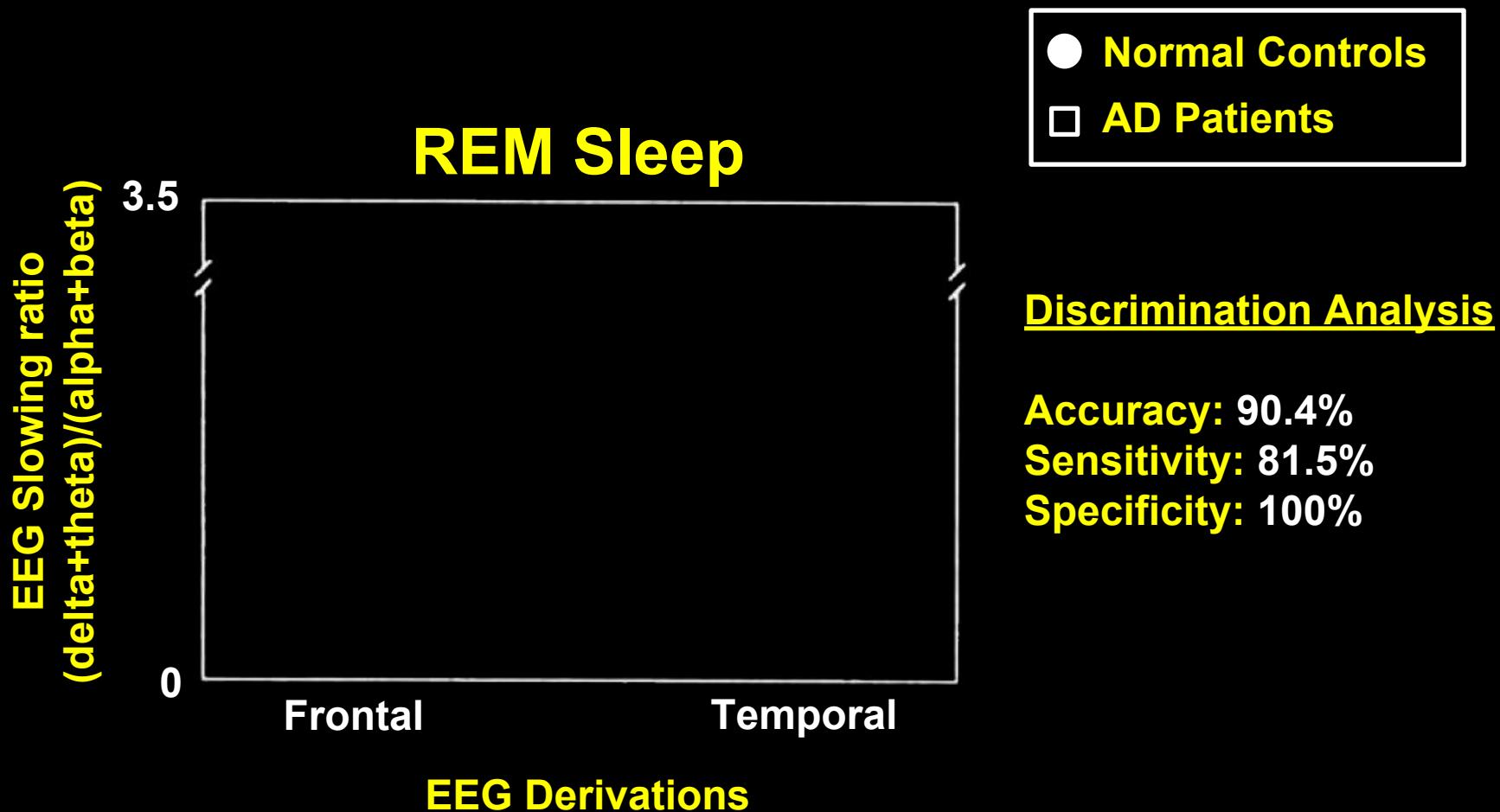
Fast sleep spindle counts (13-15 Hz)



*AD & MCI patients have fewer parietal fast sleep spindles (13-15Hz)*

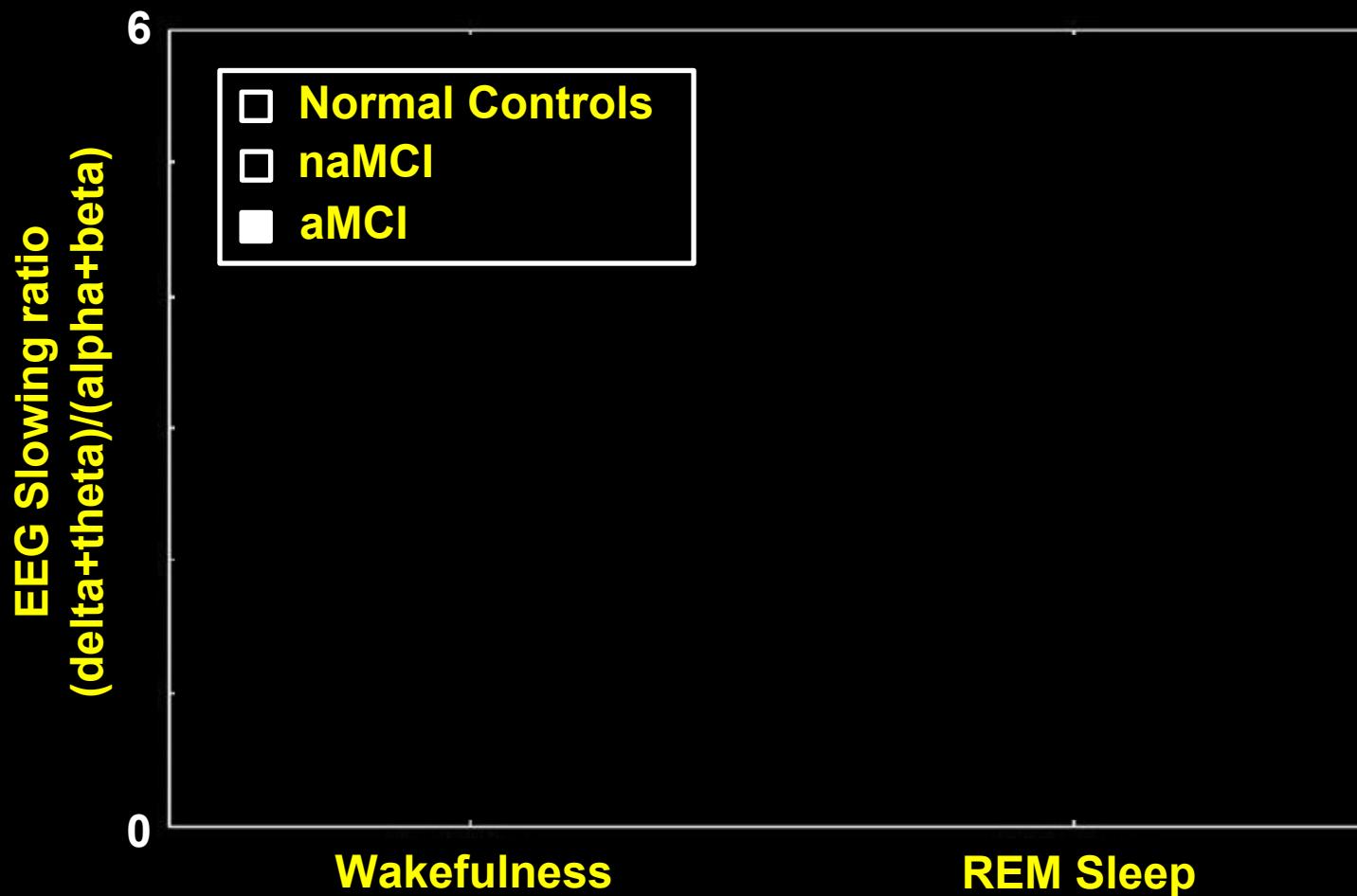
**From Rauchs et al, 2008  
And Gorgoni et al, 2016**

# quantitative REM sleep EEG in AD



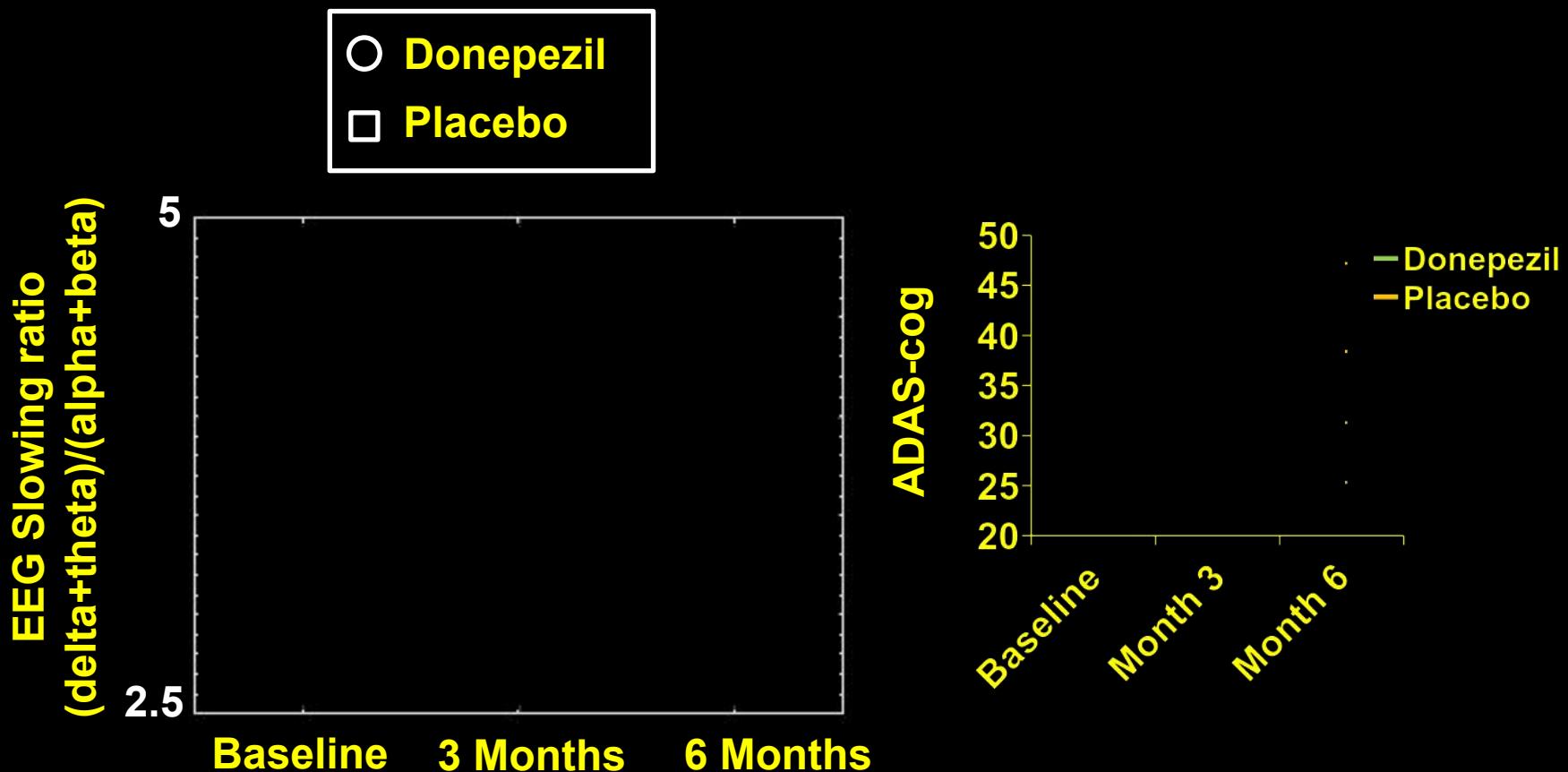
From Hassainia et al, 1997

# quantitative REM sleep EEG in MCI



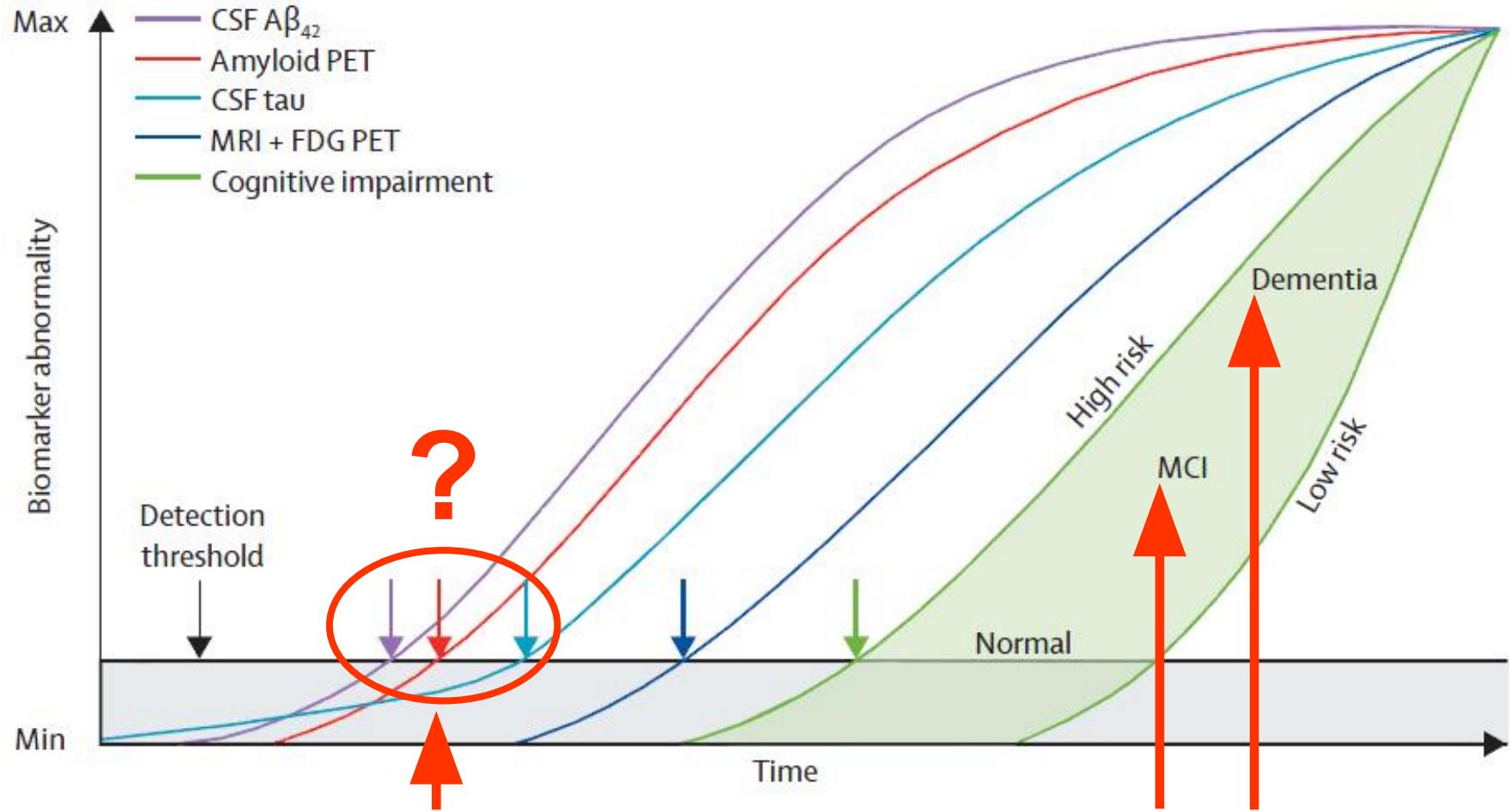
*aMCI differs from Controls and naMCI*

# Mechanism of REM EEG slowing



ACh system degeneration likely cause; linked to cognitive decline?

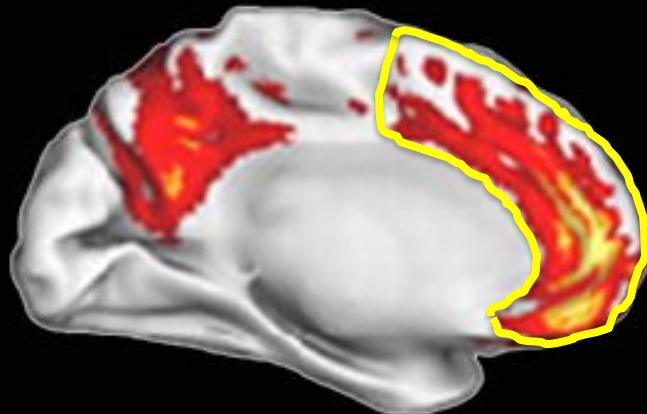
# The Cascade Hypothesis of Alzheimer's Disease



From Jack et al, 2013

# A $\beta$ and NREM slow waves before MCI?

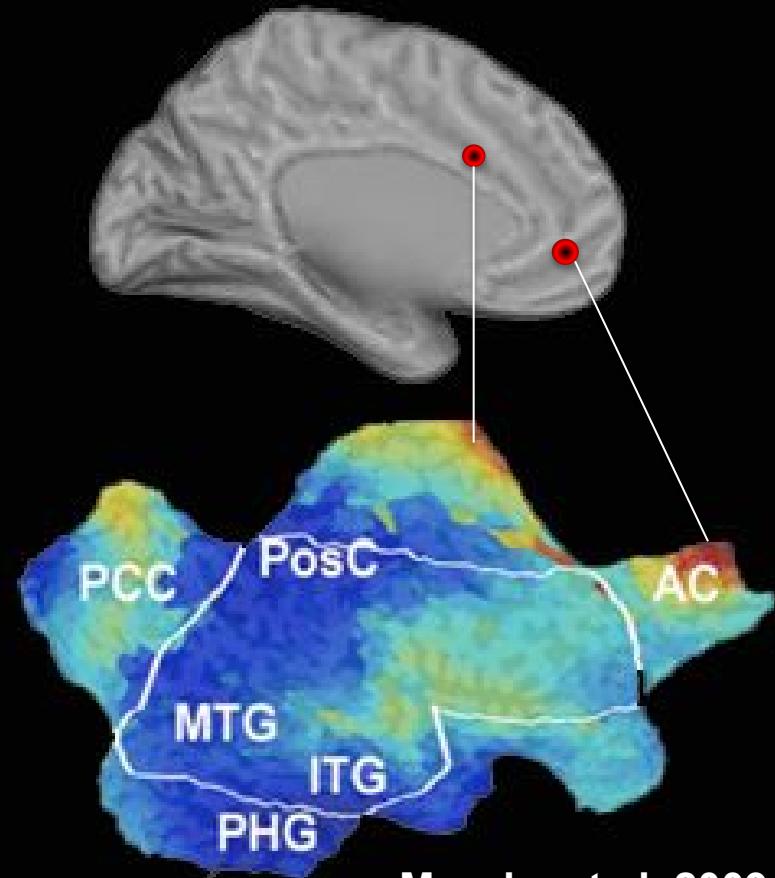
$\beta$ -amyloid pathology



Buckner et al, 2005

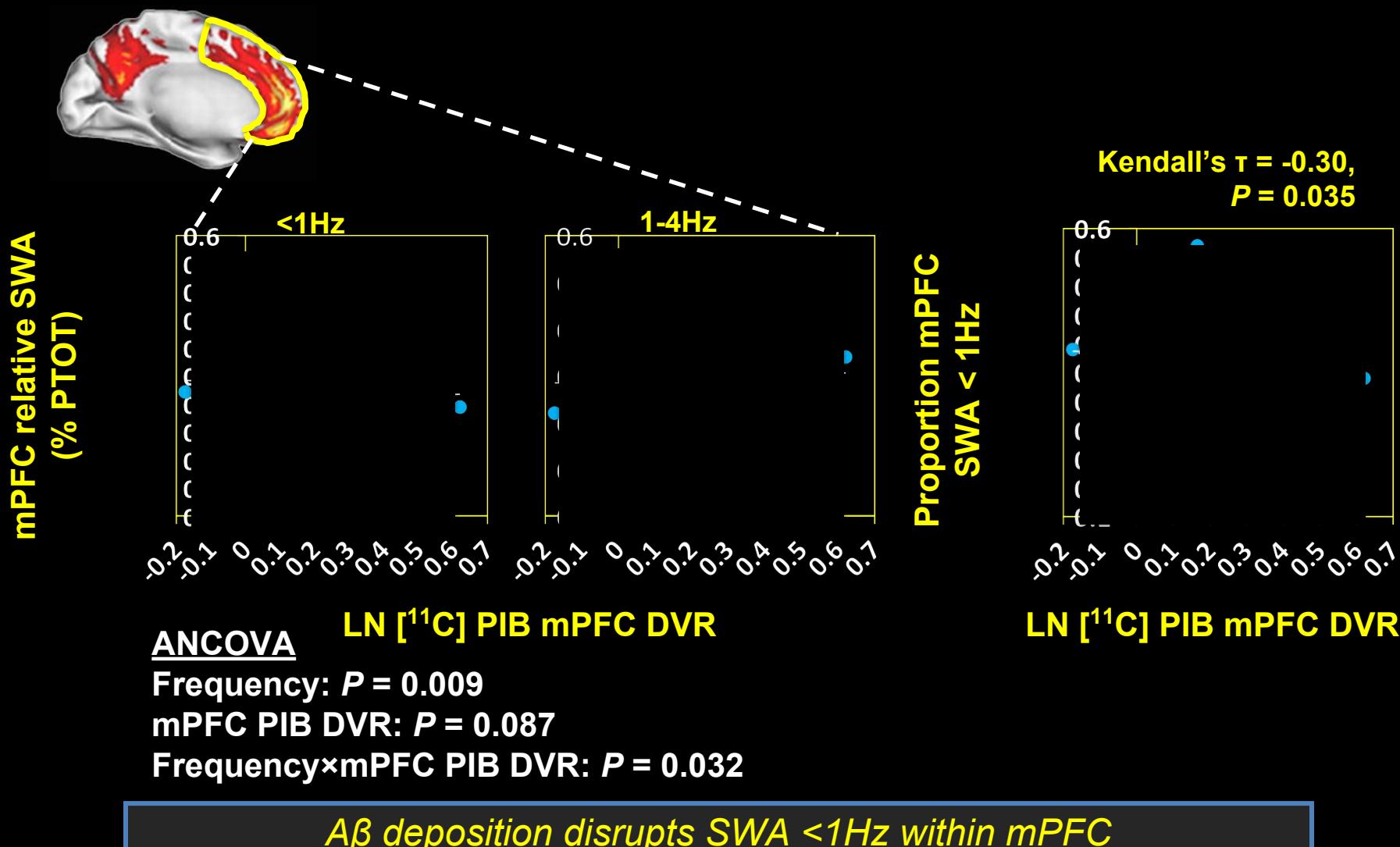
Cortical generators of NREM slow waves

Max



Murphy et al, 2009

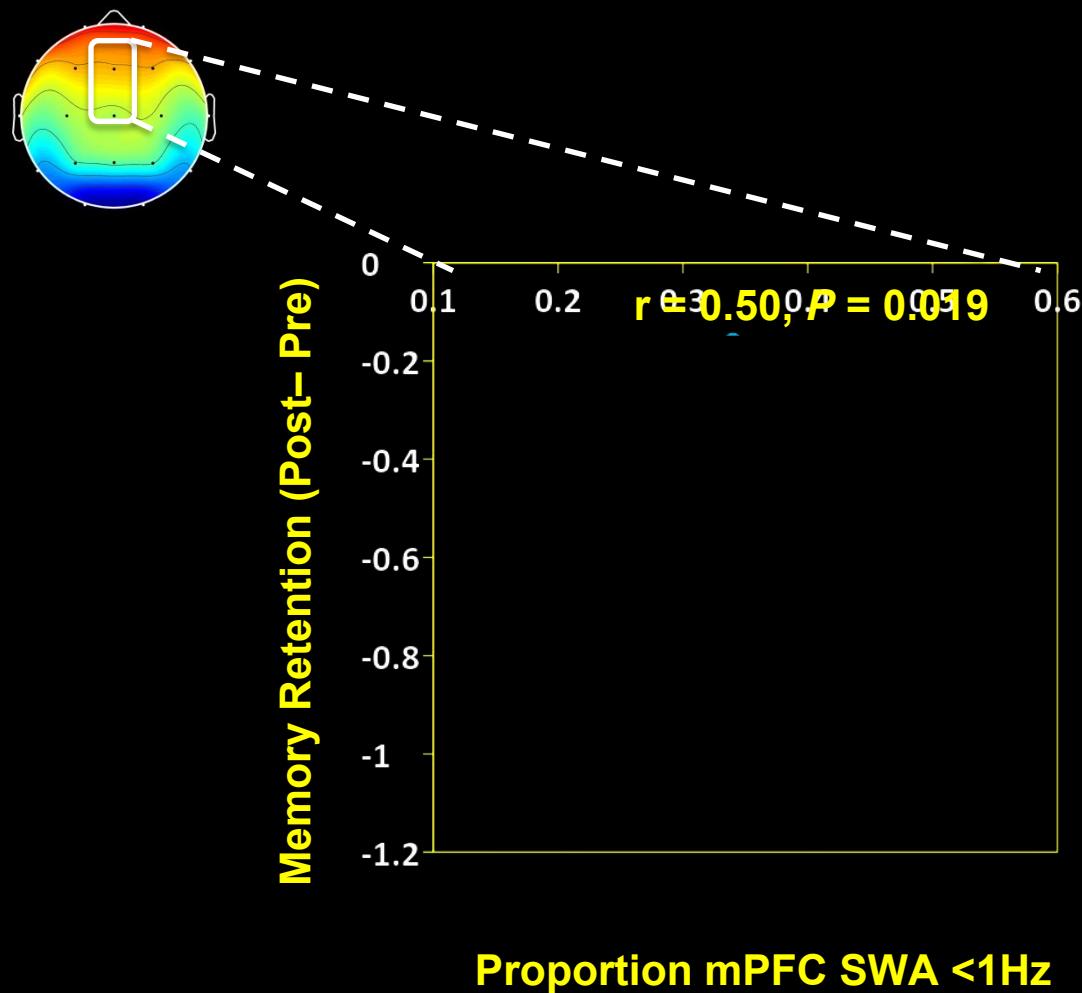
# A $\beta$ effects on SWA in healthy controls



From Mander et al, 2015

# Preliminary Findings: Is this NREM SWA signature a biomarker?

# Does SWA explain A $\beta$ impact on memory?

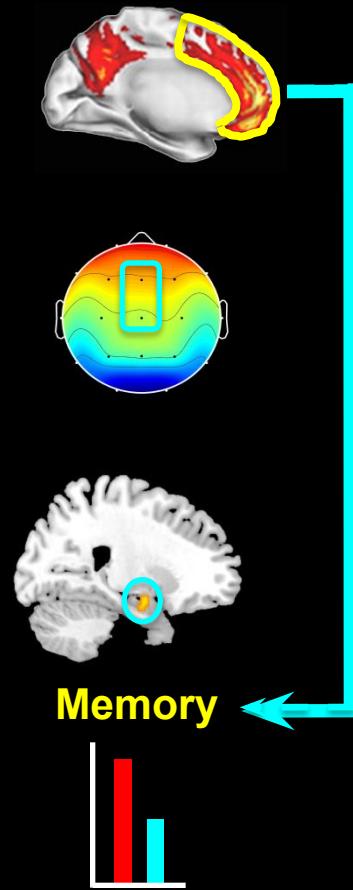


*mPFC SWA <1Hz predicts overnight memory retention*

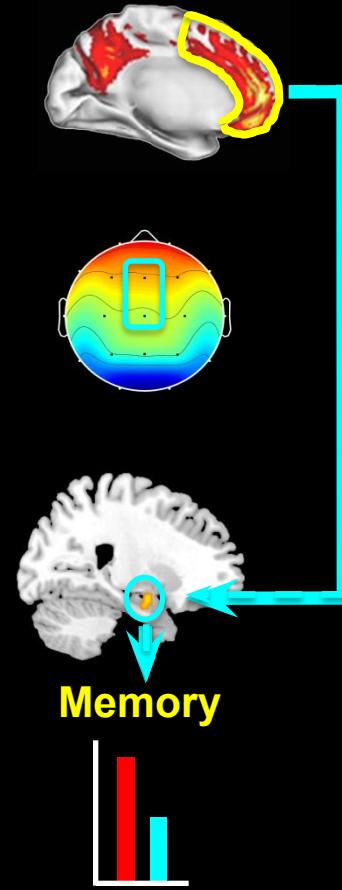
From Mander et al, 2015

# Path Analysis Models

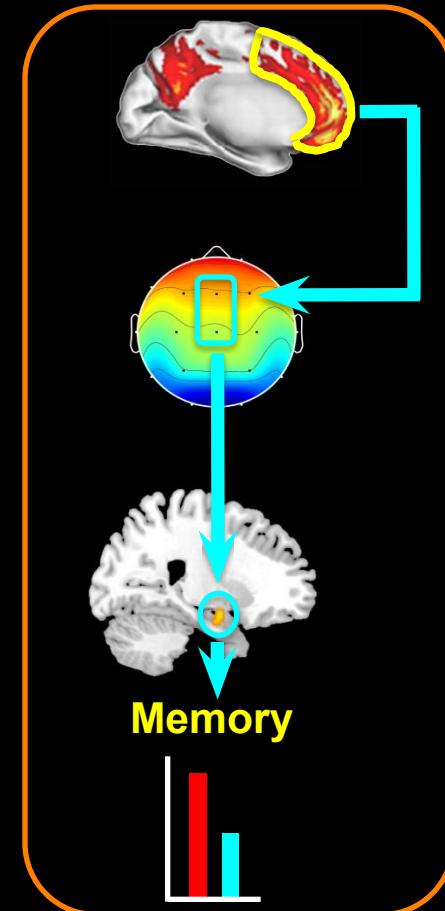
**SWA & HC  
independent Model**



**SWA independent  
HC dependent Model**



**SWA & HC  
dependent Model**



*mPFC A $\beta$  influences memory through SWA*

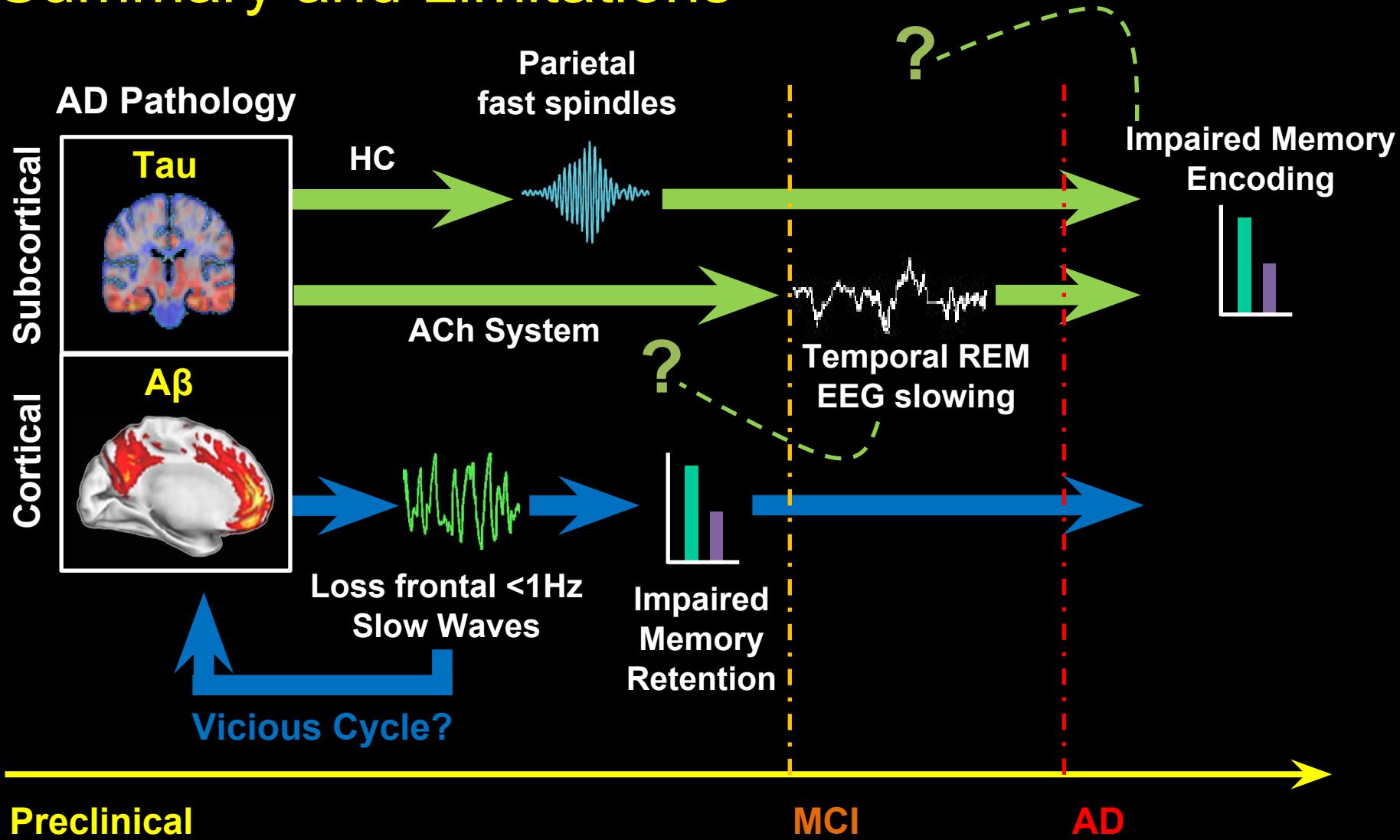
From Mander et al, 2015

# Preliminary Findings: Impact of A $\beta$ and Tau on NREM SW density

# Preliminary Findings: Impact of A $\beta$ and Tau on NREM sleep spindles

# Preliminary Findings: Impact of A $\beta$ and Tau on NREM sleep spindles

# Summary and Limitations



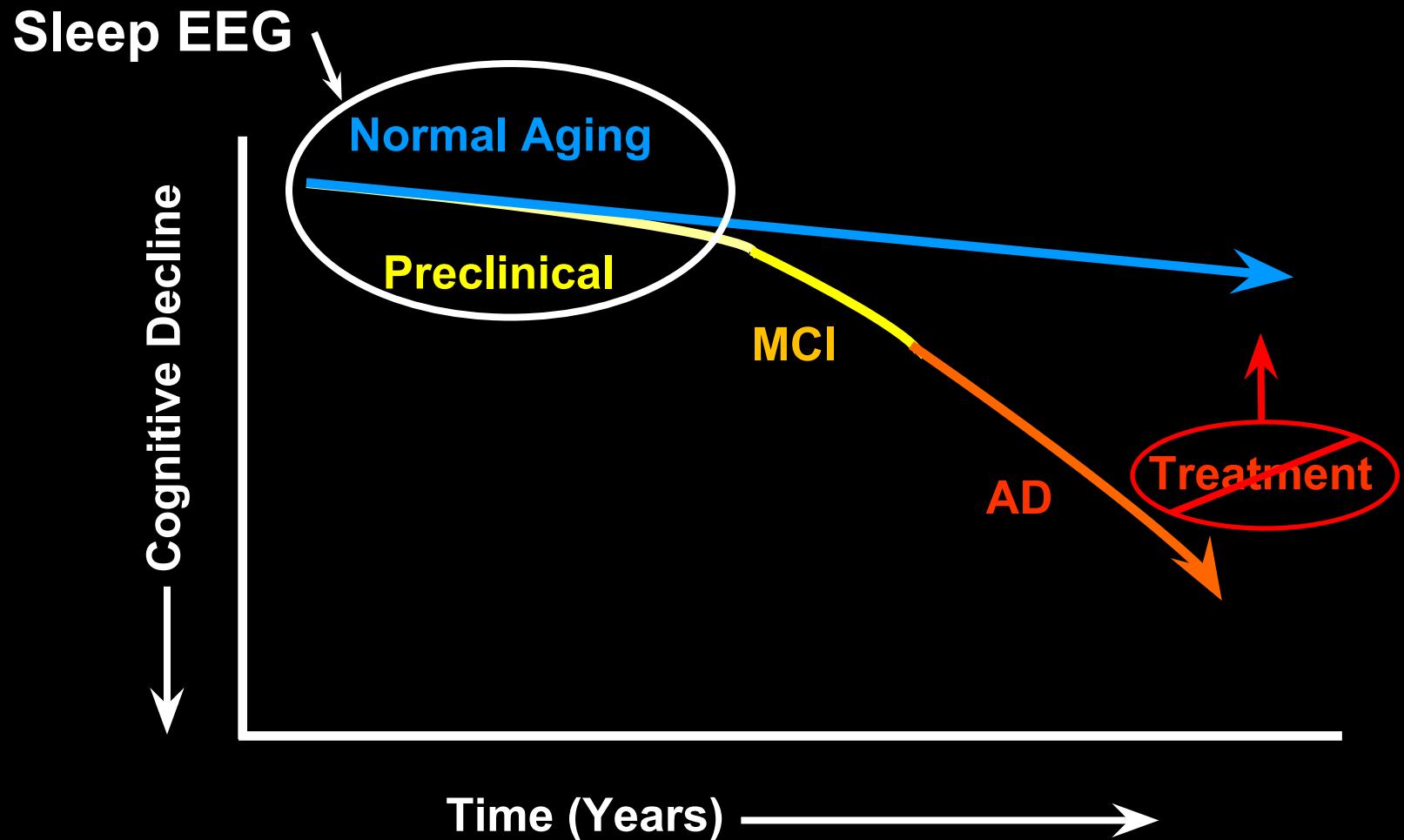
Preclinical

MCI

AD

- 1) Longitudinal data with AD biomarkers, PSG, and cognition limited
- 2) No studies have used sleep stimulation in MCI, AD patients or at risk populations
- 3) Understanding of mechanisms limited

# Could Sleep EEG aid early detection and prevention?



# Acknowledgments

Matt Walker, PhD

**Walkerlab**

Joe Winer, MA

Vikram Rao

Andrea Goldstein, PhD

Stephanie Greer, PhD

Ingrid Nieuwenhuis, PhD

Jared Saletin, PhD

Els van der Helm, PhD

Adam Krause

RAs:

David Baquirin

Maggie Belshe

Meghna Bhatter

Michelle Binod

Sam Bowditch

Catherine Dang

Jay Gupta

Danny Holzman

April Horn

Emily Hur

Jonathan Jeng

Samika Kumar

Jack Lindquist

Molly Nicholas

Sina Rashidi

Matthew Shonman

Lilly Zhang

Alyssa Zhu

Sonia Ancoli-Israel, PhD

William Jagust, MD

**Jagustlab**

Shawn Marks

Jacob Vogel

Amynta Hayenga

Candace Markley

Beth Mormino, PhD

**Other collaborators**

Brandon Lu, MD

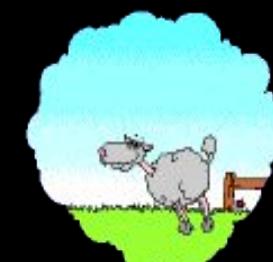
Adam Gazzaley, MD, PhD

Michael Rubens

**Supported by:**

National Institute  
on Aging ■ ♦ ★ \*

NIH AG031164, AG034570,  
AG08415, AG039170,  
MH093537, DA031939



**Sleep Well... !**

# References

- Barakat, M., J. Carrier, et al. (2013). "Sleep spindles predict neural and behavioral changes in motor sequence consolidation." *Hum Brain Mapp* **34**(11): 2918-28.
- Barakat, M., J. Doyon, et al. (2011). "Fast and slow spindle involvement in the consolidation of a new motor sequence." *Behav Brain Res* **217**(1): 117-21.
- Brayet, P., D. Petit, et al. (2015). "Quantitative EEG of Rapid-Eye-Movement Sleep: A Marker of Amnestic Mild Cognitive Impairment." *Clin EEG Neurosci*.
- Buckner, R. L., A. Z. Snyder, et al. (2005). "Molecular, structural, and functional characterization of Alzheimer's disease: evidence for a relationship between default activity, amyloid, and memory." *J Neurosci* **25**(34): 7709-17.
- Carrier, J., I. Viens, et al. (2011). "Sleep slow wave changes during the middle years of life." *Eur J Neurosci* **33**(4): 758-66.
- Chauvette, S., J. Seigneur, et al. (2012). "Sleep oscillations in the thalamocortical system induce long-term neuronal plasticity." *Neuron* **75**(6): 1105-13.
- Fogel, S. M., G. Albouy, et al. (2013). "fMRI and sleep correlates of the age-related impairment in motor memory consolidation." *Hum Brain Mapp*.
- Fogel, S. M., C. T. Smith, et al. (2009). "Evidence for 2-stage models of sleep and memory: learning-dependent changes in spindles and theta in rats." *Brain Res Bull* **79**(6): 445-51.
- Gais, S., M. Molle, et al. (2002). "Learning-dependent increases in sleep spindle density." *J Neurosci* **22**(15): 6830-4.
- Gorgoni, M., G. Lauri, et al. (2016). "Parietal Fast Sleep Spindle Density Decrease in Alzheimer's Disease and Amnesic Mild Cognitive Impairment." *Neural Plast* **2016**: 8376108.
- Hassainia, F., D. Petit, et al. (1997). "Quantitative EEG and statistical mapping of wakefulness and REM sleep in the evaluation of mild to moderate Alzheimer's disease." *Eur Neurol* **37**(4): 219-24.
- Hita-Yanez, E., M. Atienza, et al. (2013). "Polysomnographic and subjective sleep markers of mild cognitive impairment." *Sleep* **36**(9): 1327-34.
- Hita-Yanez, E., M. Atienza, et al. (2012). "Disturbed sleep patterns in elders with mild cognitive impairment: the role of memory decline and ApoE epsilon4 genotype." *Curr Alzheimer Res* **9**(3): 290-7.
- Huber, R., M. F. Ghilardi, et al. (2004). "Local sleep and learning." *Nature* **430**(6995): 78-81.
- Jack, C. R., Jr. and D. M. Holtzman (2013). "Biomarker modeling of Alzheimer's disease." *Neuron* **80**(6): 1347-58.

# References

- Ladenbauer, J., N. Kulzow, et al. (2016). "Brain stimulation during an afternoon nap boosts slow oscillatory activity and memory consolidation in older adults." *Neuroimage* **142**: 311-323.
- Lustenberger, C., M. R. Boyle, et al. (2016). "Feedback-Controlled Transcranial Alternating Current Stimulation Reveals a Functional Role of Sleep Spindles in Motor Memory Consolidation." *Curr Biol* **26**(16): 2127-36.
- Barakat, M., J. Carrier, et al. (2013). "Sleep spindles predict neural and behavioral changes in motor sequence consolidation." *Hum Brain Mapp* **34**(11): 2918-28.
- Barakat, M., J. Doyon, et al. (2011). "Fast and slow spindle involvement in the consolidation of a new motor sequence." *Behav Brain Res* **217**(1): 117-21.
- Brayet, P., D. Petit, et al. (2015). "Quantitative EEG of Rapid-Eye-Movement Sleep: A Marker of Amnestic Mild Cognitive Impairment." *Clin EEG Neurosci*.
- Buckner, R. L., A. Z. Snyder, et al. (2005). "Molecular, structural, and functional characterization of Alzheimer's disease: evidence for a relationship between default activity, amyloid, and memory." *J Neurosci* **25**(34): 7709-17.
- Carrier, J., I. Viens, et al. (2011). "Sleep slow wave changes during the middle years of life." *Eur J Neurosci* **33**(4): 758-66.
- Chauvette, S., J. Seigneur, et al. (2012). "Sleep oscillations in the thalamocortical system induce long-term neuronal plasticity." *Neuron* **75**(6): 1105-13.
- Fogel, S. M., G. Albouy, et al. (2013). "fMRI and sleep correlates of the age-related impairment in motor memory consolidation." *Hum Brain Mapp*.
- Fogel, S. M., C. T. Smith, et al. (2009). "Evidence for 2-stage models of sleep and memory: learning-dependent changes in spindles and theta in rats." *Brain Res Bull* **79**(6): 445-51.
- Gais, S., M. Molle, et al. (2002). "Learning-dependent increases in sleep spindle density." *J Neurosci* **22**(15): 6830-4.
- Gorgoni, M., G. Lauri, et al. (2016). "Parietal Fast Sleep Spindle Density Decrease in Alzheimer's Disease and Amnestic Mild Cognitive Impairment." *Neural Plast* **2016**: 8376108.
- Hassainia, F., D. Petit, et al. (1997). "Quantitative EEG and statistical mapping of wakefulness and REM sleep in the evaluation of mild to moderate Alzheimer's disease." *Eur Neurol* **37**(4): 219-24.
- Hita-Yanez, E., M. Atienza, et al. (2013). "Polysomnographic and subjective sleep markers of mild cognitive impairment." *Sleep* **36**(9): 1327-34.

# References

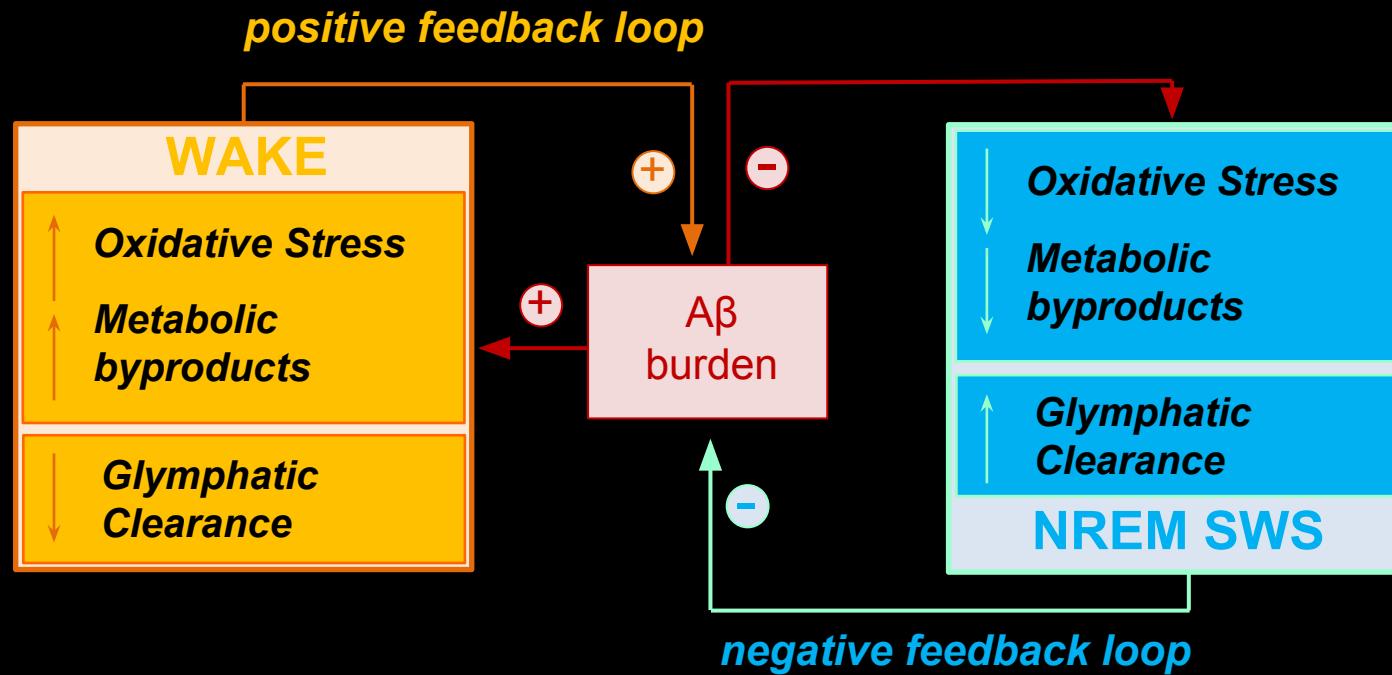
- Hita-Yanez, E., M. Atienza, et al. (2012). "Disturbed sleep patterns in elders with mild cognitive impairment: the role of memory decline and ApoE epsilon4 genotype." *Curr Alzheimer Res* **9**(3): 290-7.
- Huber, R., M. F. Ghilardi, et al. (2004). "Local sleep and learning." *Nature* **430**(6995): 78-81.
- Jack, C. R., Jr. and D. M. Holtzman (2013). "Biomarker modeling of Alzheimer's disease." *Neuron* **80**(6): 1347-58.
- Ladenbauer, J., N. Kulzow, et al. (2016). "Brain stimulation during an afternoon nap boosts slow oscillatory activity and memory consolidation in older adults." *Neuroimage* **142**: 311-323.
- Lustenberger, C., M. R. Boyle, et al. (2016). "Feedback-Controlled Transcranial Alternating Current Stimulation Reveals a Functional Role of Sleep Spindles in Motor Memory Consolidation." *Curr Biol* **26**(16): 2127-36.
- Mander, B. A., S. M. Marks, et al. (2015). "beta-amyloid disrupts human NREM slow waves and related hippocampus-dependent memory consolidation." *Nat Neurosci* **18**(7): 1051-7.
- Mander, B. A., V. Rao, et al. (2013). "Prefrontal atrophy, disrupted NREM slow waves and impaired hippocampal-dependent memory in aging." *Nat Neurosci* **16**(3): 357-64.
- Mander, B. A., J. R. Winer, et al. (2016). "Sleep: A Novel Mechanistic Pathway, Biomarker, and Treatment Target in the Pathology of Alzheimer's Disease?" *Trends Neurosci* **39**(8): 552-66.
- Marshall, L., H. Helgadottir, et al. (2006). "Boosting slow oscillations during sleep potentiates memory." *Nature* **444**(7119): 610-3.
- Marshall, L., M. Molle, et al. (2004). "Transcranial direct current stimulation during sleep improves declarative memory." *J Neurosci* **24**(44): 9985-92.
- Moraes, W. d. S., D. Poyares, et al. (2006). "The effect of donepezil on sleep and REM sleep EEG in patients with Alzheimer disease: a double-blind placebo-controlled study." *Sleep* **29**(2): 199-205.
- Morin, A., J. Doyon, et al. (2008). "Motor sequence learning increases sleep spindles and fast frequencies in post-training sleep." *Sleep* **31**(8): 1149-56.
- Murphy, M., B. A. Riedner, et al. (2009). "Source modeling sleep slow waves." *Proc Natl Acad Sci U S A* **106**(5): 1608-13.
- Nishida, M. and M. P. Walker (2007). "Daytime naps, motor memory consolidation and regionally specific sleep spindles." *PLoS One* **2**(4): e341.
- Ohayon, M. M., M. A. Carskadon, et al. (2004). "Meta-analysis of quantitative sleep parameters from childhood to old age in healthy individuals: developing normative sleep values across the human lifespan." *Sleep* **27**(7): 1255-73.
- Plihal, W. and J. Born (1999). "Memory consolidation in human sleep depends on inhibition of glucocorticoid release." *Neuroreport* **10**(13): 2741-7.
- Prinz, P. N., P. P. Vitaliano, et al. (1982). "Sleep, EEG and mental function changes in senile dementia of the Alzheimer's type." *Neurobiol Aging* **3**(4): 361-70.

# References

- Rasch, B., C. Buchel, et al. (2007). "Odor cues during slow-wave sleep prompt declarative memory consolidation." Science **315**(5817): 1426-9.
- Rauchs, G. r., M. Schabus, et al. (2008). "Is there a link between sleep changes and memory in Alzheimer's disease?" Neuroreport **19**(11): 1159.
- Rechtschaffen, A. and A. Kales (1968). A manual of standardized terminology, techniques an scoring system of sleep stages in human subjects. Los Angeles, UCLA Brain Information Services.
- Rodrigues Brazete, J., J. F. Gagnon, et al. (2016). "Electroencephalogram slowing predicts neurodegeneration in rapid eye movement sleep behavior disorder." Neurobiol Aging **37**: 74-81.
- Rudoy, J. D., J. L. Voss, et al. (2009). "Strengthening individual memories by reactivating them during sleep." Science **326**(5956): 1079.
- Saletin, J. M., A. N. Goldstein, et al. (2011). "The Role of Sleep in Directed Forgetting and Remembering of Human Memories." Cereb Cortex.
- Silber, M. H., S. Ancoli-Israel, et al. (2007). "The visual scoring of sleep in adults." J Clin Sleep Med **3**(2): 121-31.
- Westerberg, C. E., S. M. Florczak, et al. (2015). "Memory improvement via slow-oscillatory stimulation during sleep in older adults." Neurobiol Aging.
- Westerberg, C. E., B. A. Mander, et al. (2012). "Concurrent Impairments in Sleep and Memory in Amnestic Mild Cognitive Impairment." J Int Neuropsychol Soc: 1-11.

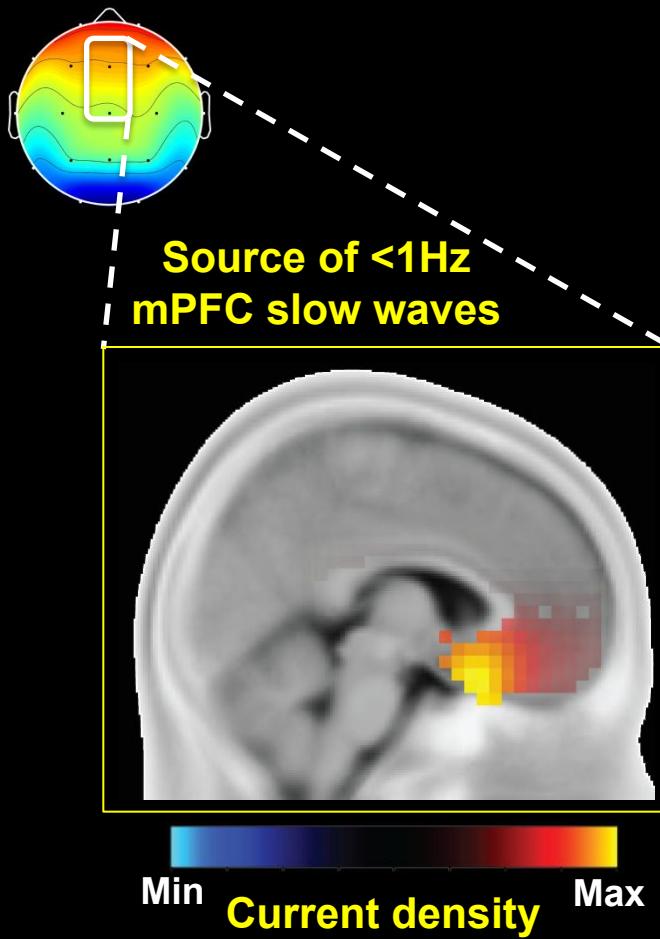
# **EXTRA SLIDES**

# A $\beta$ and Sleep: Hypothetical Mechanisms



**BUT...What about Tau?**

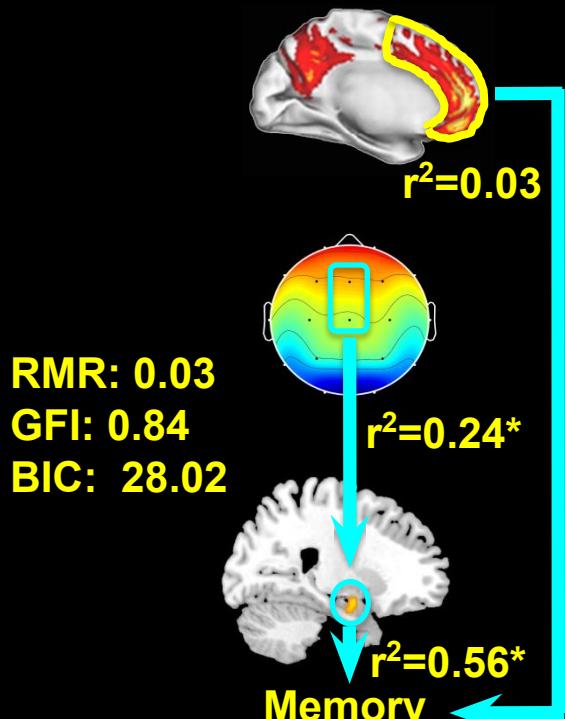
# Source of NREM SW <1Hz



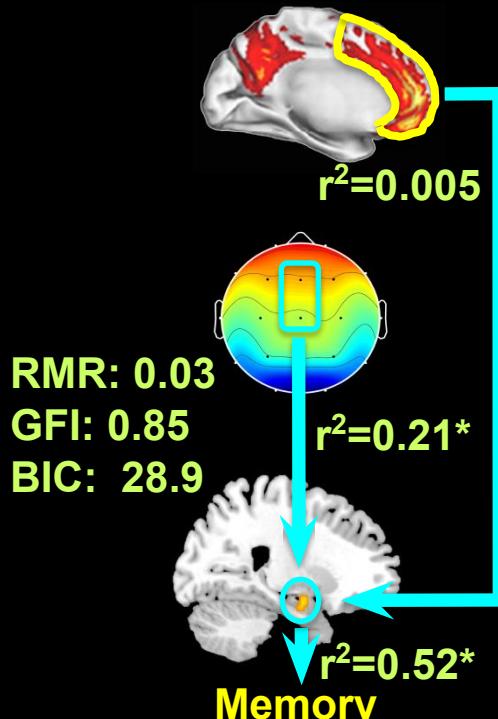
*CZ and FZ Slow waves <1Hz sourced to mPFC*

# Path analysis models details

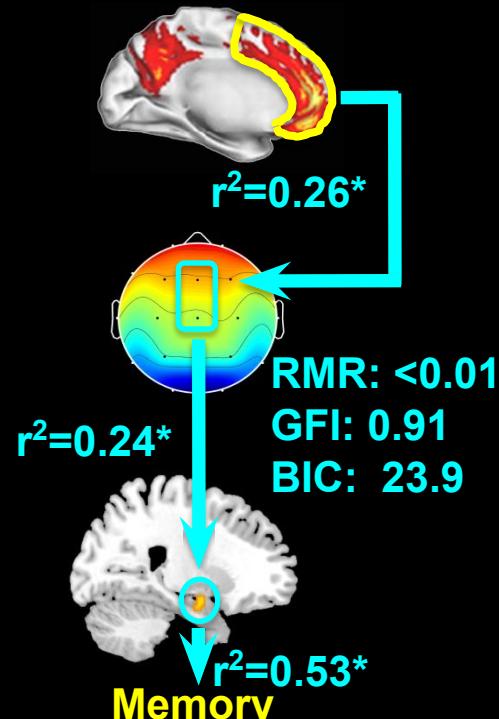
**SWA & HC  
independent Model**



**SWA independent  
HC dependent Model**



**SWA & HC  
dependent Model**

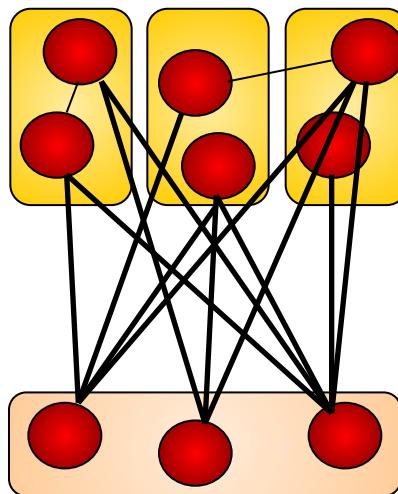


Saturation  
RMR: <0.01  
GFI: >0.99  
BIC: 28.9

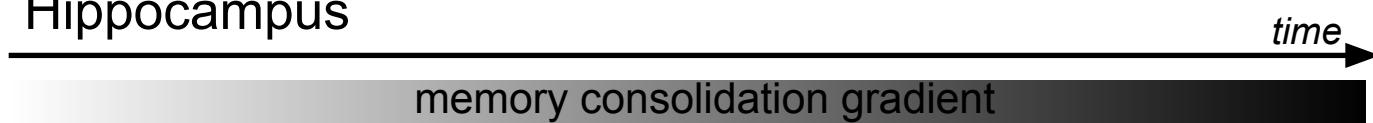
Independence  
RMR: 0.09  
GFI: 0.59  
BIC: 37.8

# Hippocampal-Neocortical Model of Memory Processing

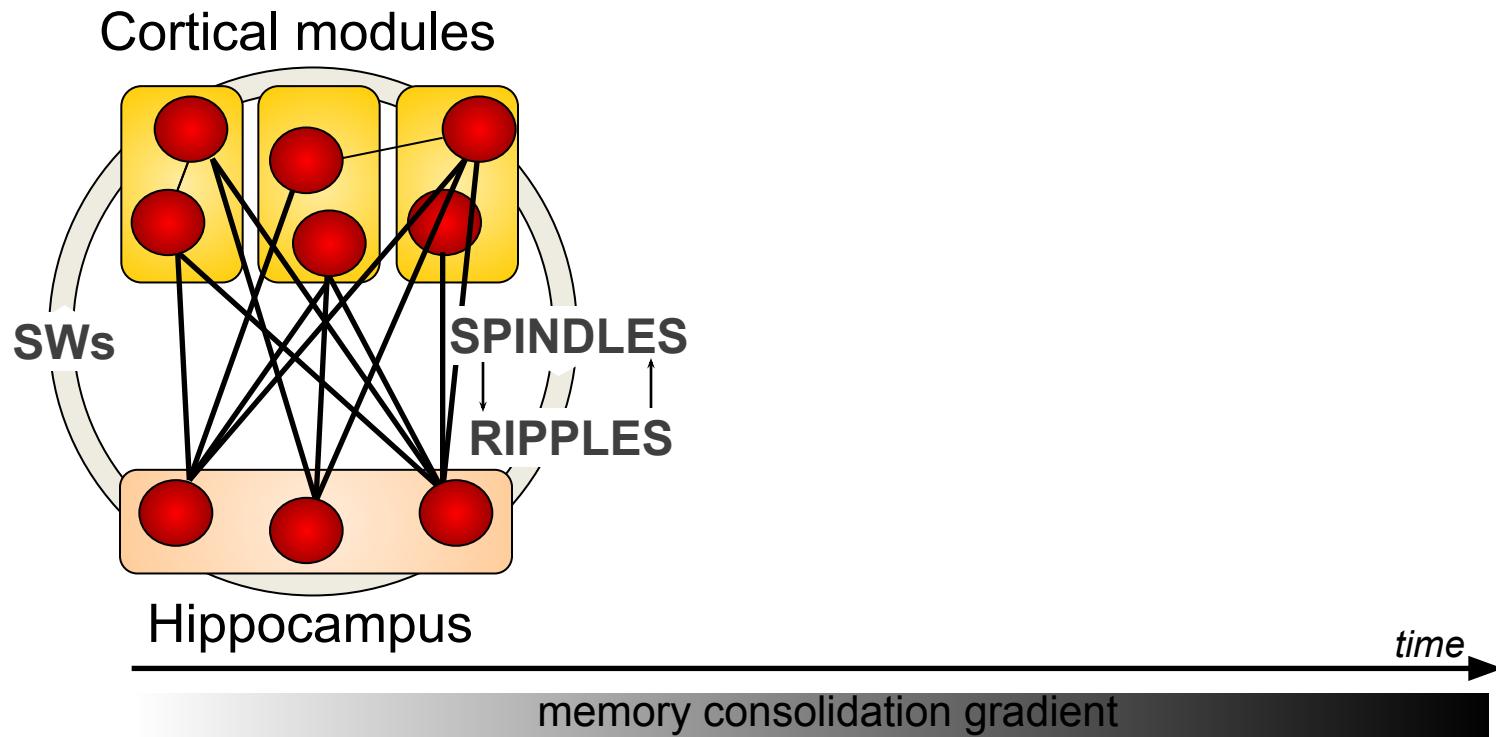
Cortical modules



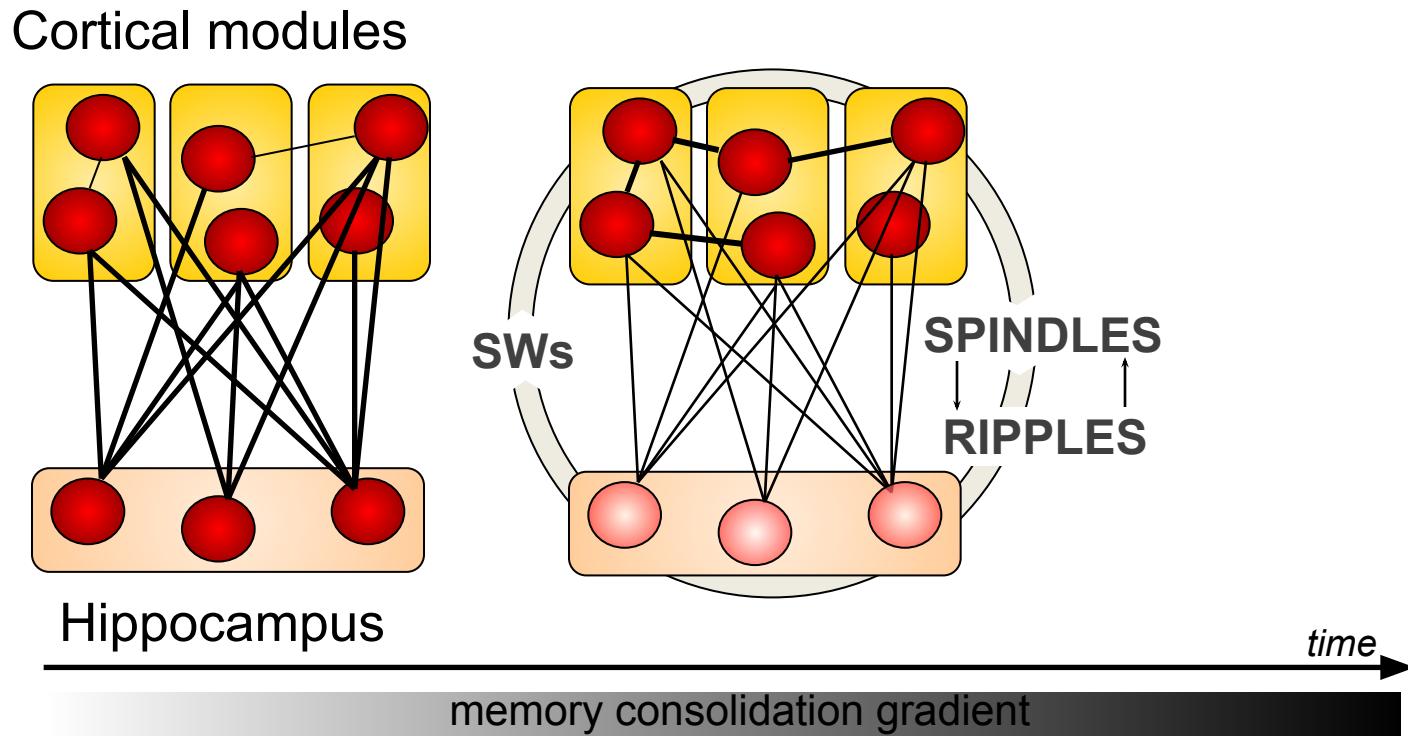
Hippocampus



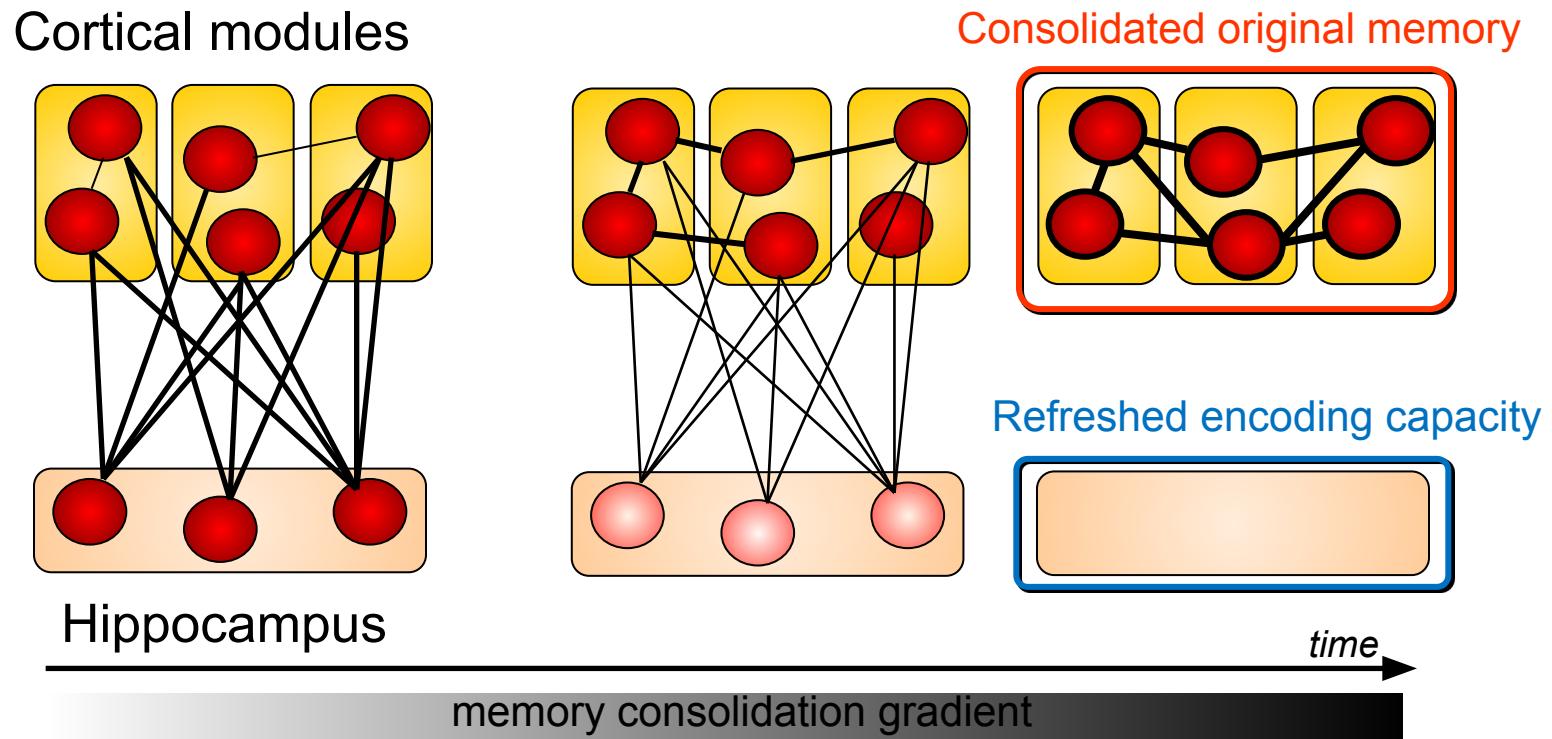
# Hippocampal-Neocortical Model of Memory Processing



# Hippocampal-Neocortical Model of Memory Processing



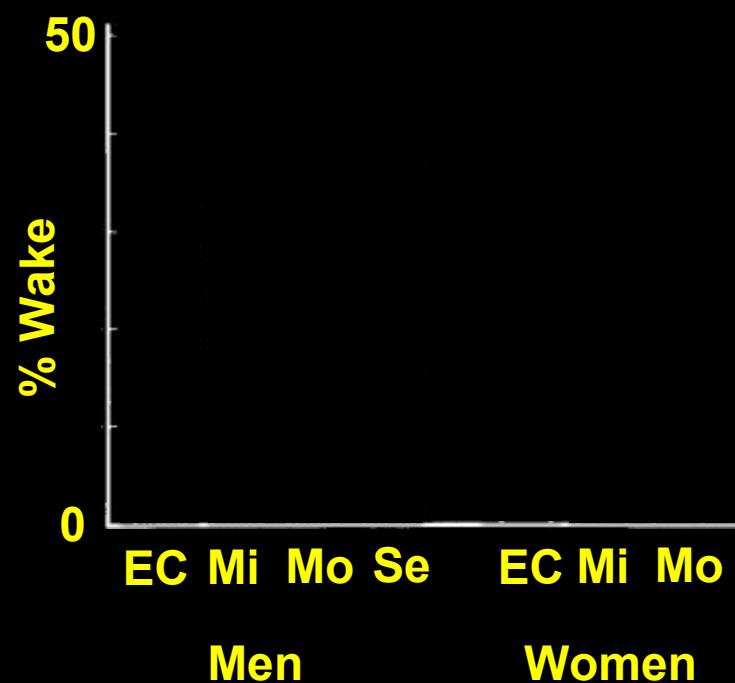
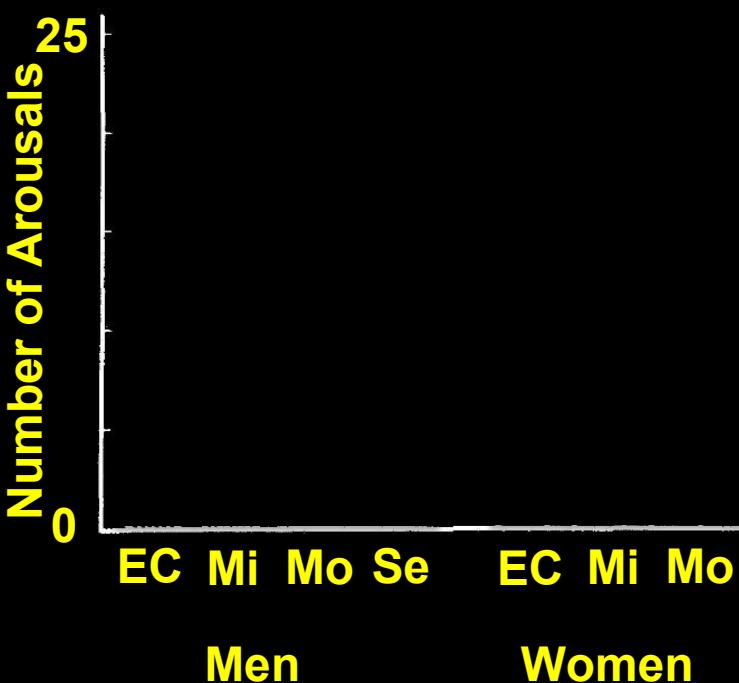
# Hippocampal-Neocortical Model of Memory Processing



## PREDICTIONS:

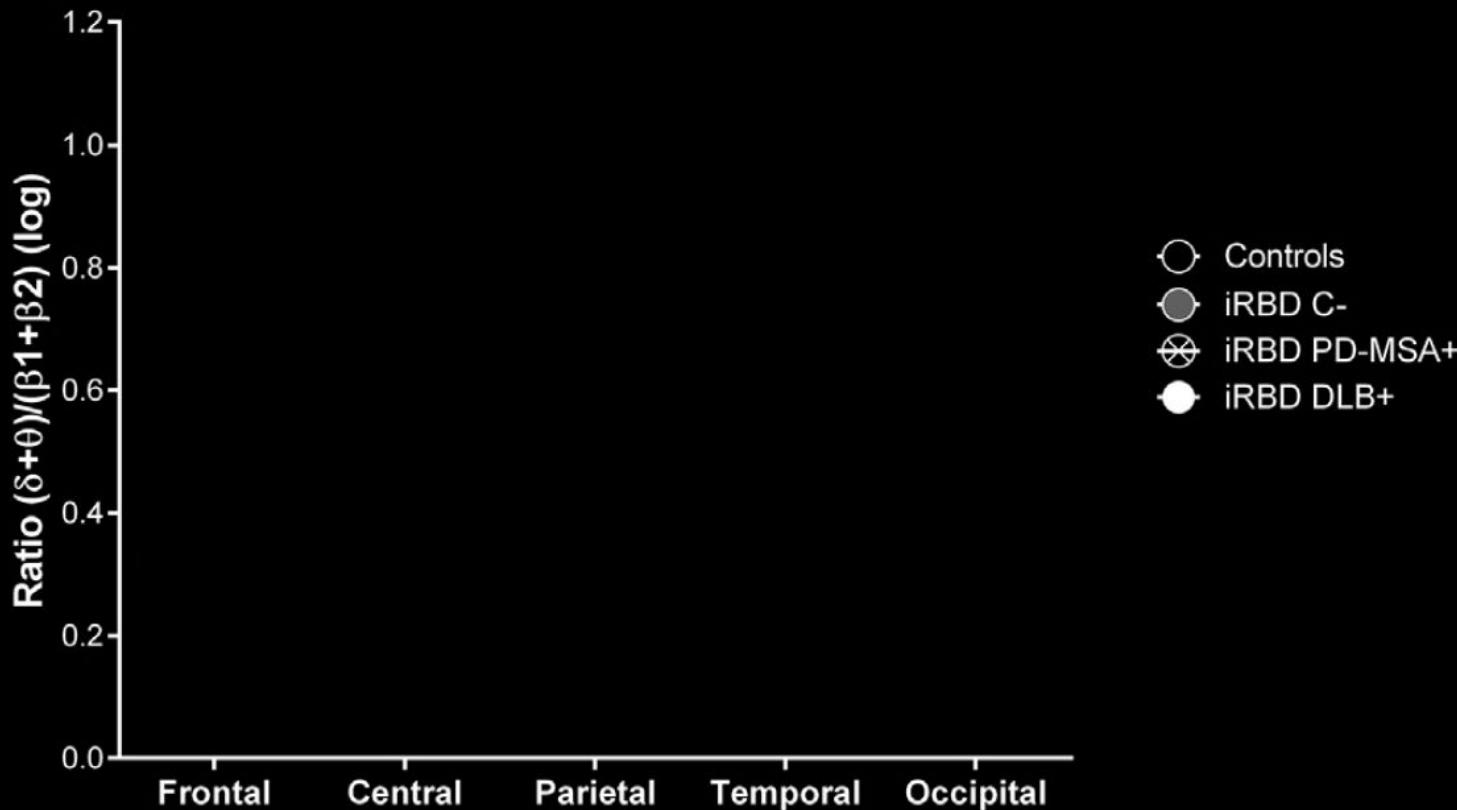
- Post-sleep Memory Retrieval – ↓ Hippocampus activation**
- Post-sleep Memory Encoding – ↑ Hippocampus activation**

# Sleep in Alzheimer's Disease



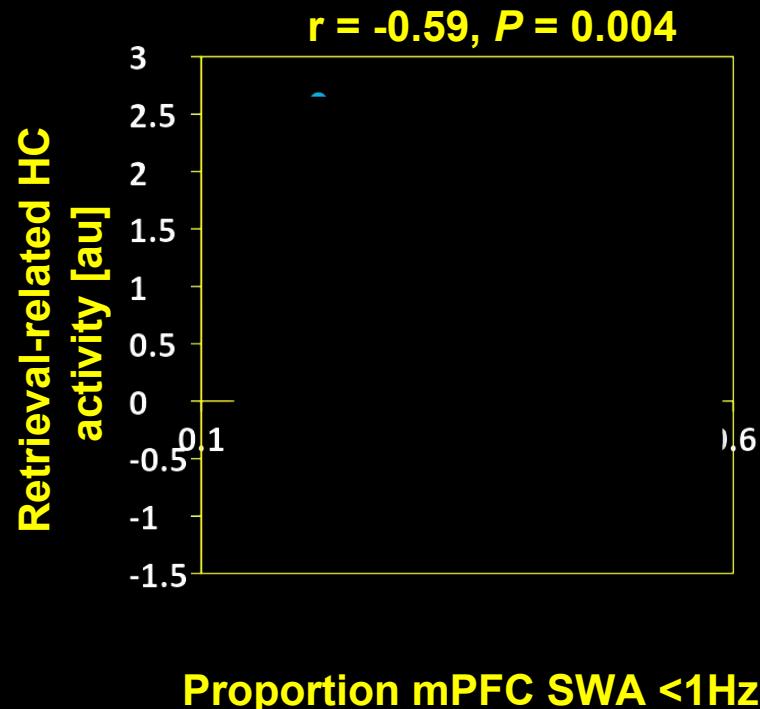
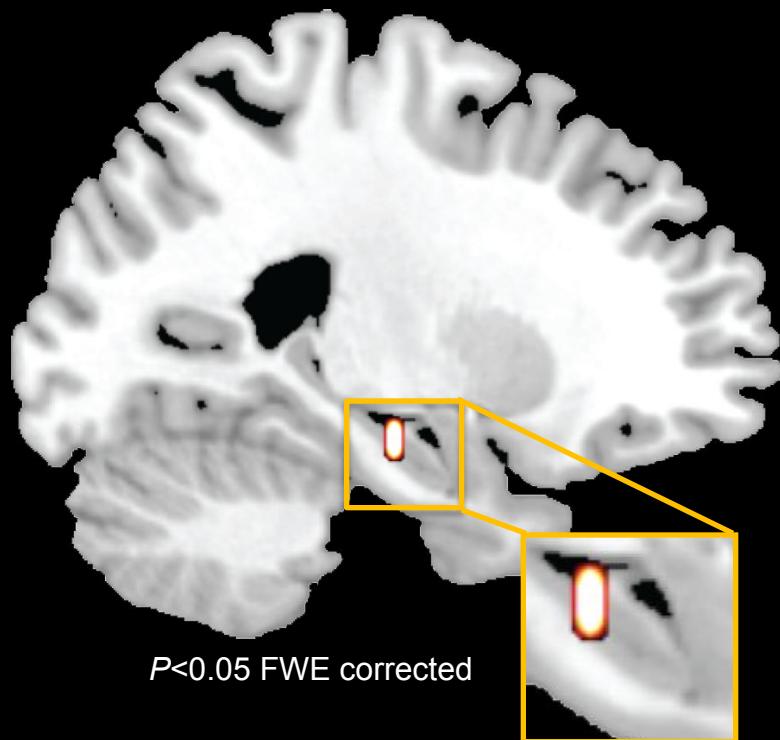
From Prinz et al, 1982

# REM EEG slowing predicts conversion to other dementias, too



*Idiopathic REM behavior disorder increases risk for Parkinson's Disease.  
REM EEG slowing in iRBD increases risk for PD, MSA, and DLB in 3.5 years.*

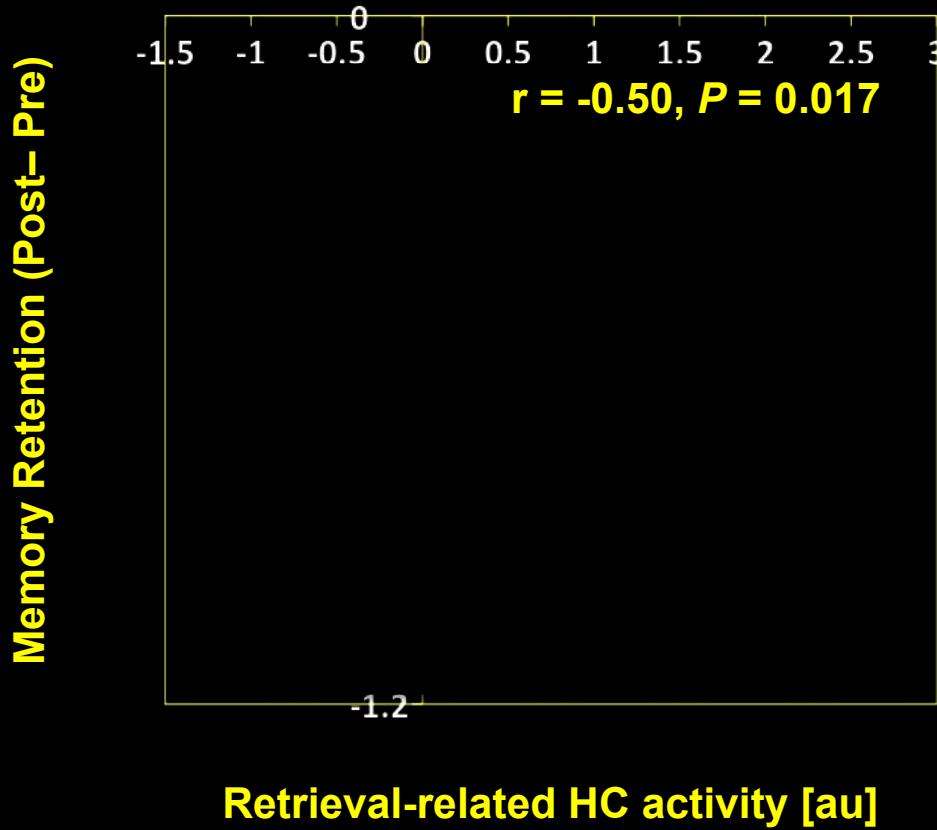
# Does SWA explain A $\beta$ impact on memory?



*mPFC SWA <1Hz predicts next day HC activation during retrieval*

From Mander et al, 2015

# Does SWA explain A $\beta$ impact on memory?



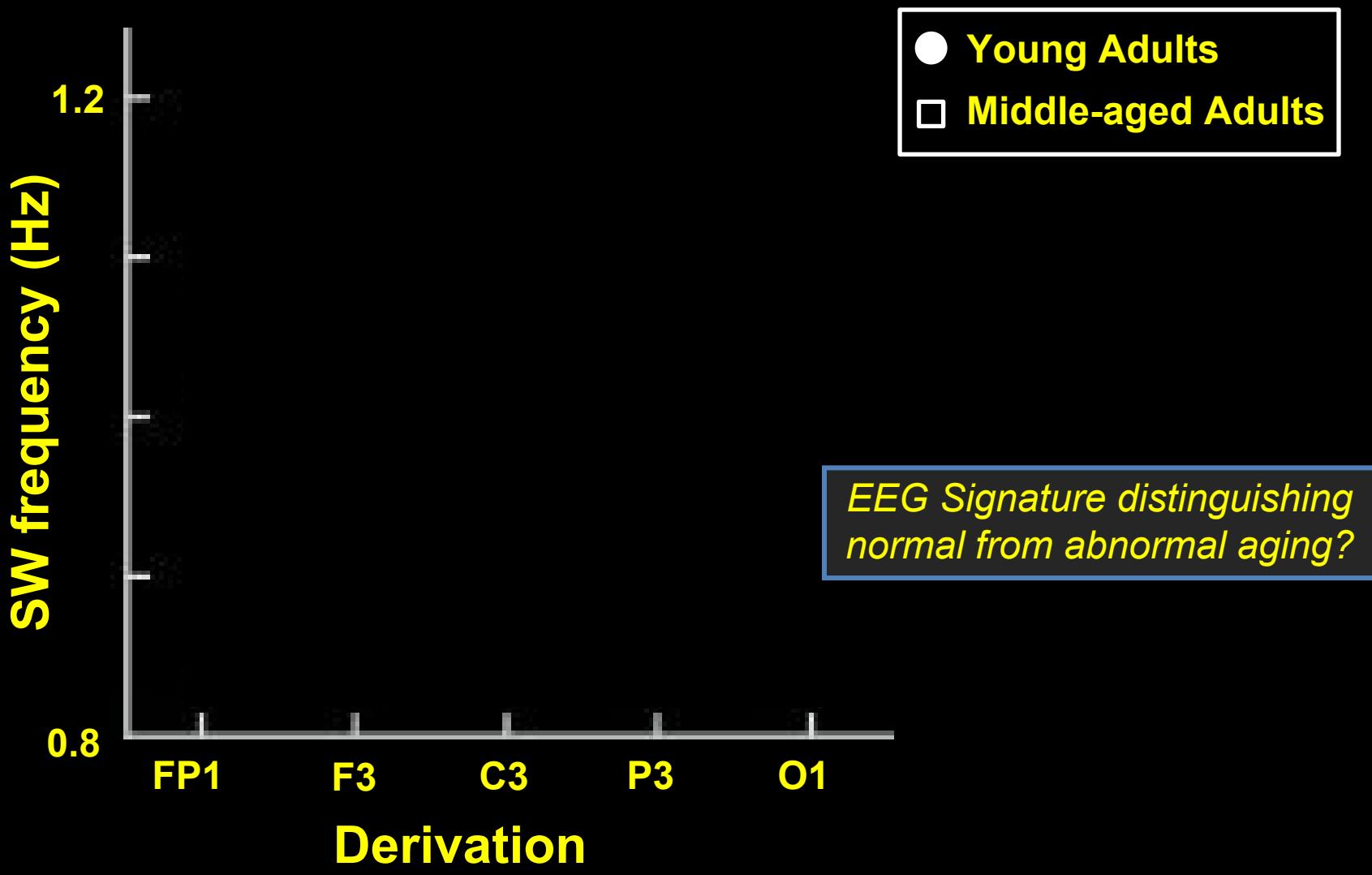
*Reliance on HC during retrieval indexes  
failure of overnight memory consolidation*

From Mander et al, 2015

Preliminary Findings:  
Does this NREM sleep EEG signature predict future A $\beta$ ?

Preliminary Findings:  
Does this NREM sleep EEG signature predict A $\beta$  change?

# A $\beta$ effects on SWA distinct from normal aging?



Carrier et al, 2011