The 2016 Annual Public Educational Forum

## Anxiety, Depression, and Dementia/Alzheimer Disease: What are the Links?

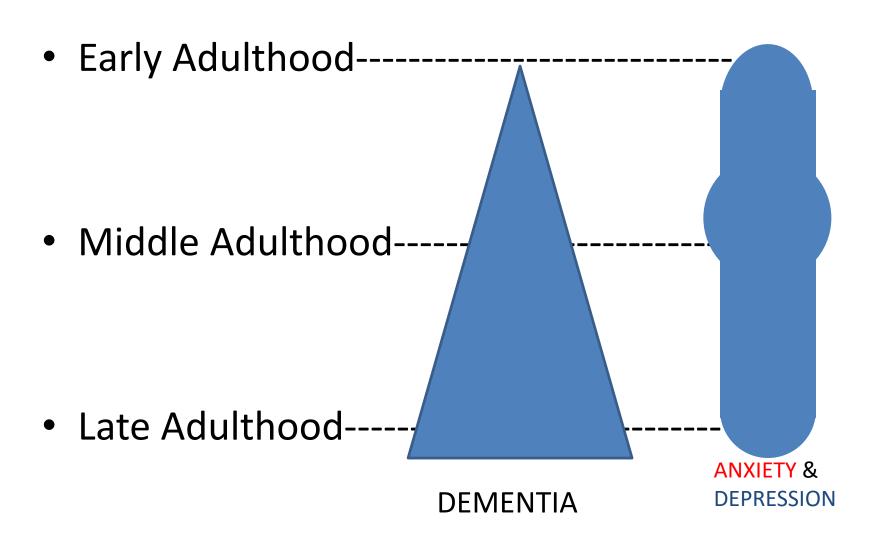
#### Mary Ganguli MD MPH

Professor of Psychiatry, Neurology, and Epidemiology, University of Pittsburgh Pittsburgh, PA

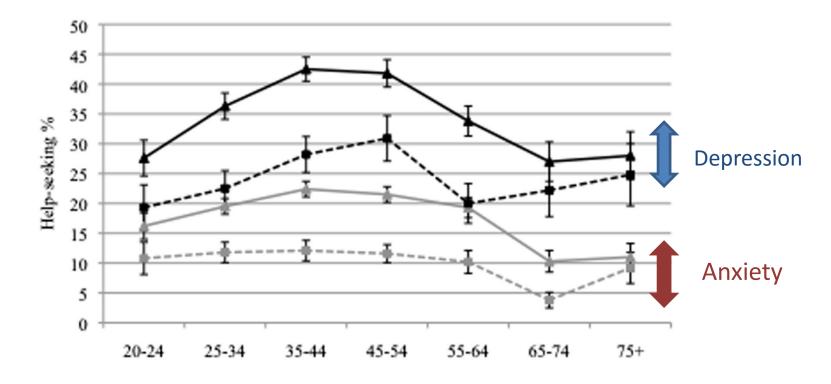


### Why talk about hese conditions?

- Alzheimer Disease (AD) and other dementias become increasingly common as we grow older.
- Anxiety and depression are common throughout adulthood.



#### Depression and Anxiety \* Across adulthood

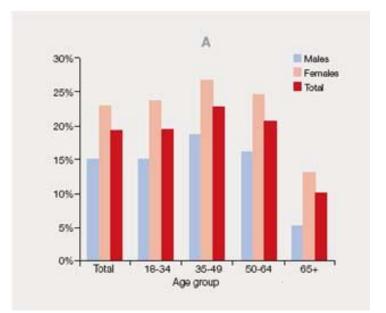


#### \* % seeking help for these conditions

Mackenzie C. et al., Depression and Anxiety, 2012, 29 (3):234-242

#### Prevalence

#### Depression



#### 40.0% 35.0% 30.0% 25.0% 20.0% 15.0% 10.0% 5.0% 0.0% 65-69 70-74 75-79 80-84 85-89 90+ Age Group

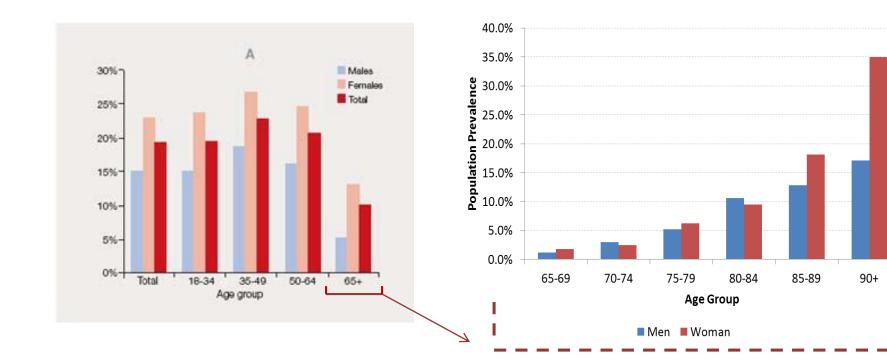
Men Woman

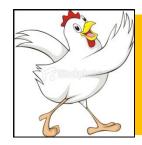
#### Dementia

#### Prevalence

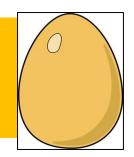
#### Depression

#### Dementia





#### Chicken Or Egg?



## Depression Anxiety



Causes? Consequences? Coincidence?

#### In basic terms

 People who experience anxiety and depression <u>early in life</u> may have an increased chance of showing signs of AD <u>when they get</u> <u>older</u>.

 Timely and appropriate treatment for anxiety and depression <u>may</u> help lower this chance.

#### However...

• Not everyone who was anxious or depressed earlier will develop dementia later.

• Many people who get dementia have never been anxious or depressed before.

• Some people become anxious or depressed for the first time when they are older.

## And in those folks...

Among those who become anxious and depressed *for the first time* in late adulthood:

- In some, the anxiety and depression may simply be a <u>reaction</u> to their increasing difficulties with remembering , organizing, and thinking that are due to their AD.
- In others, the anxiety and depression may be the <u>first symptoms</u> of the brain changes of AD, appearing even before memory loss.

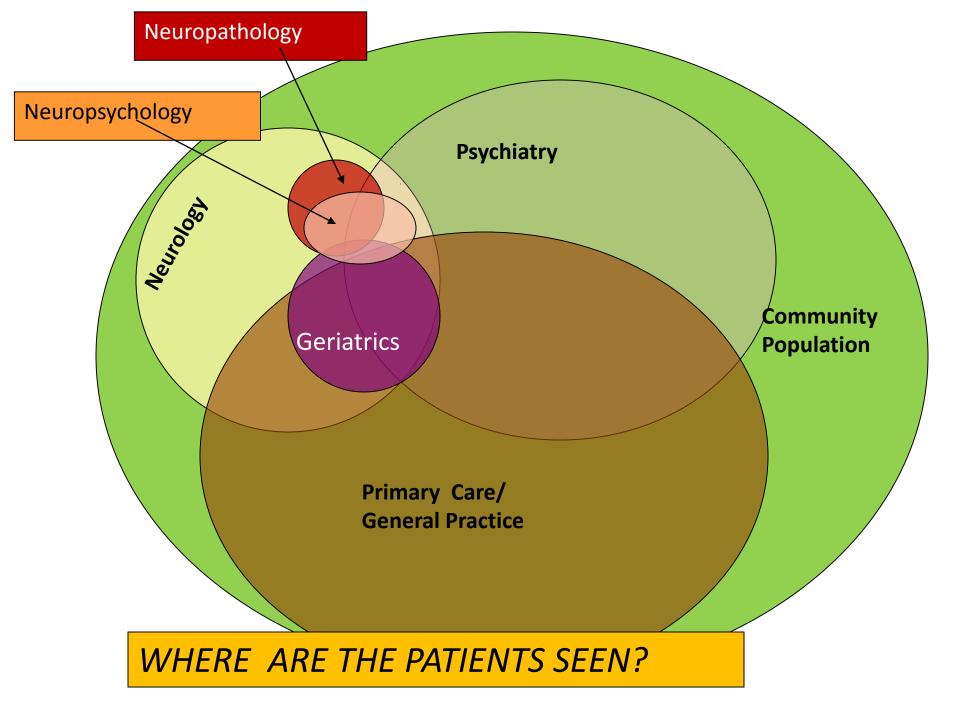
Depression and Dementia: the clinician's perspective

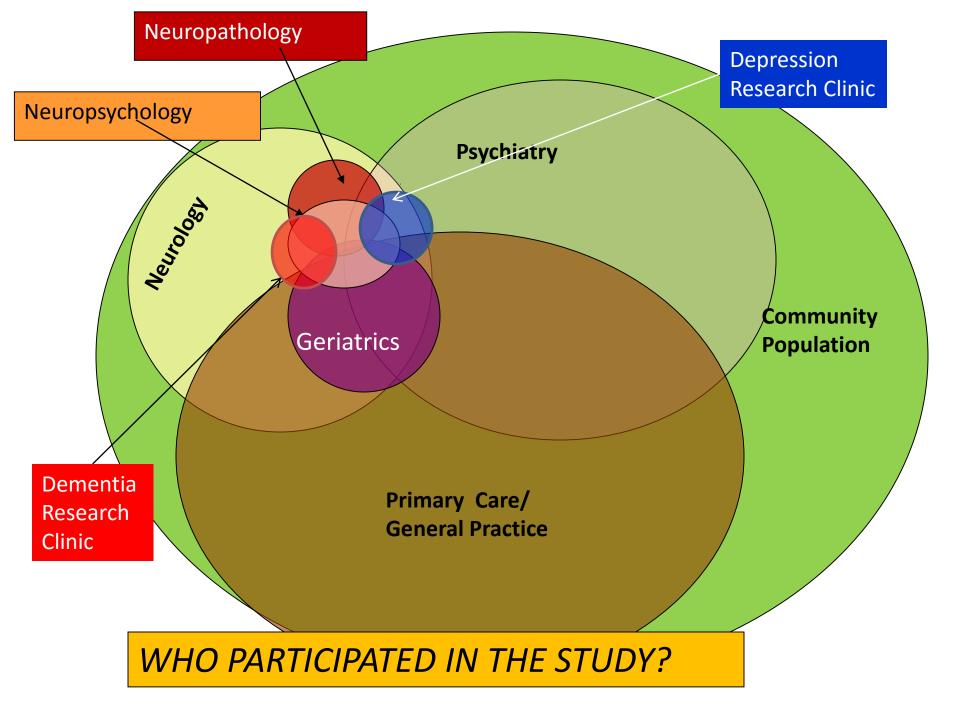
- Clinicians often see older patients who are both depressed and cognitively impaired.
- Cognitive functioning often improves when depression is treated.
- ? Does this information have *clinical implications* for prognosis and treatment of patients?
- **?** Does this information help us understand the *underlying relationship and/or mechanisms*?

#### What does the research tell us?

Different studies appear to have conflicting results, depending on:

- ✓ The setting/population for the study.
- $\checkmark$  The design of the study.
- ✓ The assessments/measurements used in the study.
- ✓ The specific research question being asked (hypothesis being tested) in the study.





#### What was the design of the study?

- Who participated? Memory clinic patients? Primary care patients? Volunteers? Randomly selected community members? Nursing home residents?
- **Time-line:** Was the study cross-sectional, retrospective, or prospective?
- Were participants **depressed or depression-free** at the time of selection?
- Were participants cognitively impaired or cognitively intact at the time of selection?
- What was the **outcome** studied? Cognitive decline? Clinical dementia? Brain imaging findings? Autopsy findings? Biomarkers (e.g. plasma or CSF amyloid)?

#### What did different studies/ articles mean by "Depression?"

- Scores on Depression Symptom Scales:
  - Self-report questionnaires, e.g., CES-D, GDS, PHQ-9
  - Clinician-rated scale, e.g., Ham-D
  - Prime-MD
- Depressive Syndromes:
  - Standard diagnostic criteria: DSM, ICD.
    - Clinician diagnosis
    - Diagnostic algorithm, e.g., SCID, CIDI
- Depressive Disorders:
  - Major depression (unipolar), bipolar disorder.
  - Depression secondary to other disorders \*
  - Dysthymia
  - "Subsyndromal" or sub-threshold depression
- \* Including neurodegenerative and vascular brain disease

#### What is meant in different studies by "Cognitive Impairment?"

- Self-report : subjective difficulty with memory, concentration, organization.
  - Spontaneous complaints.
  - History elicited by questioning.
- Performance on objective cognitive tests.
  - Brief general mental status test, e.g., MMSE, MoCA.
  - More extensive global test, e.g. 3MS, Mattis DRS.
  - Neuropsychological tests of specific cognitive domains (attention, processing speed, learning/recall, language, visuospatial/constructional, executive functioning)
  - Need appropriate norms for age, race/ethnicity, gender, education, language/dialect.
- Cognitive impairment resulting in *functional impairment*.

#### What is meant in different studies by "Functional Impairment?"

- **Basic** self-maintenance Activities of Daily Living (ADLs):
  - Self-maintenance: feeding, toileting, grooming, mobility
- Instrumental ADLs:
  - Familiar household items, appliances.
  - Driving car, cash management, medication management.
  - Higher-order activities.
- Change in everyday functioning *related to cognitive impairment* (Clinical Dementia Rating- CDR scale):
  - Memory, orientation, judgment, home/hobbies, community activities, self-care.

What do different studies mean by "Cognitive Decline?"

- **Subjective**: reports by individual or family (or observation by clinician) of **CHANGE**: loss of cognitive ability compared to previous level.
- Objective: decline in scores on cognitive tests, demonstrated by <u>repeated</u> testing.
- ? More than expected for age? (norms available?)
- ? Accounting for learning/practice effects?
- ? All potential causes considered?

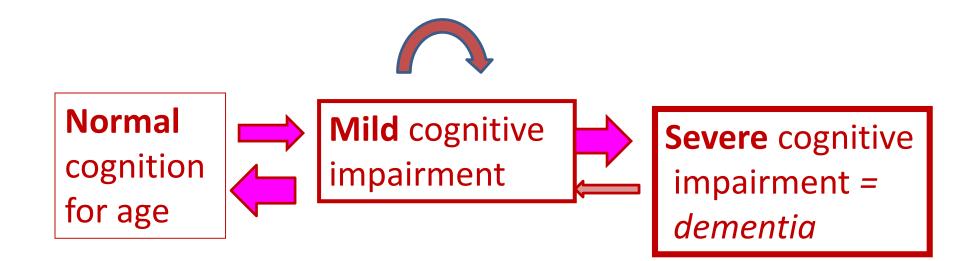
#### What do different studies mean by "DEMENTIA?"

- Clinical Dementia Rating (CDR) (based on functional loss only)
- DSM-diagnostic criteria? (loss of memory and other cognitive domains sufficient to interfere with functional independence)
- ICD criteria?
- Neuropsychological definition (test scores >=2 standard deviations below the mean in two cognitive domains)?
- A specific etiologic subtype of dementa (Alzheimer's, vascular, other)?

What do different studies mean by "Mild Cognitive Impairment (MCI)?"\*

- A cognitive state intermediate between normal-for-age and dementia. ("intermediate" ≠ "transitional")
- Has elevated probability of progressing to dementia.
- In memory clinic populations, majority with MCI progress to dementia (usually Alzheimer's) (many studies)
- In the community at large, the majority remain mildly impaired (many studies)
- Current criteria are International Working Group 2004 (*Winblad et al.*) but studies vary in how they interpret and implement the criteria.

(\*Petersen et al., 1999; Winblad et al., 2004)



## What do the data tell us?

- Late-life depression is characterized by slowed information processing, which affects all realms of cognition. *Butters MA et al. 2004*
- Most older individuals who are cognitively impaired during a depressive episode remain impaired when their depression remits.
- A lot of older depressed patients who are cognitively intact when depressed are likely to be impaired one year later, although their depression has remitted.

Bhalla R et al. 2006

*Depressive* symptoms in cognitively intact elderly were associated with increased probability of subsequent MCI, independent of vascular disease.

Barnes et al., 2006

*Depressive* symptoms predict cognitive decline in old age. *Wilson et al., 2004* 

Clinically significant *depressive symptoms* in women aged 65 or older are independently associated with greater incidence of MCI and probable dementia

Goveas et al., 2011

There was **no** increased risk of developing dementia in amnestic MCI patients with depression.

In contrast, amnestic MCI patients with *apathy* had significantly increased risk of progressing to dementia.

Palmer et al., 2010

## Our group's findings on depression and cognitive decline

- Dividing people into one group who later developed dementia and another group who remained dementiafree,
- Depressive symptoms are cross-sectionally associated with cognitive impairment, especially in those who continued to remain dementia-free.
- Dementia-free individuals undergo minimal cognitive decline over time;
- Depression is not associated with rate of subsequent cognitive decline in either group.

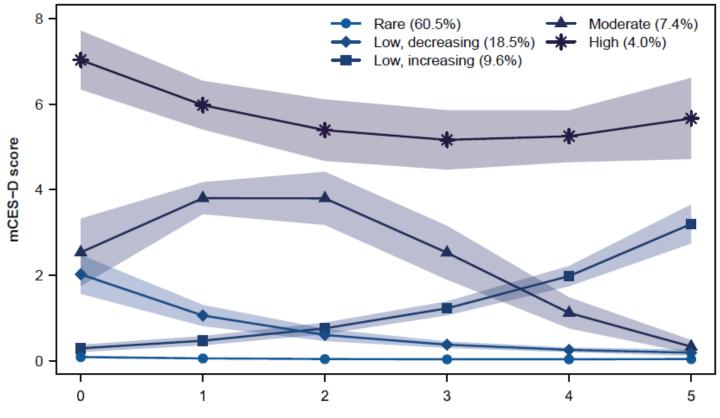
Ganguli et al., 2006

- Depressive symptoms were significantly more likely to be present in individuals after the onset of dementia than in persons without dementia.
- Depressive symptoms appeared to be early manifestations, rather than predictors, of Alzheimer's disease in this community sample.
  Chen et al., 1999

 ...after partially controlling for genetic influences, late-life depression for many individuals may be a prodrome rather than a risk factor for dementia.

Bromelhoff et al., 2009

## Maybe it's not one-time depression but the course of depression over time



Years from baseline

Graziane et al., 2015

"Fifty Shades of Blue"

# Dual trajectories of depression and cognitive trajectories over time

- Moderate depressive symptoms, and lowgrade but increasing symptoms, were the most strongly associated with consistently poor cognitive function.
- High-grade depressive symptoms were not strongly associated with consistently poor cognitive function <u>except</u> in attention/processing speed.

Systematic Reviews and Meta-Analyses

• A history of depression may confer an increased later risk of developing AD, and may be an independent risk factor.

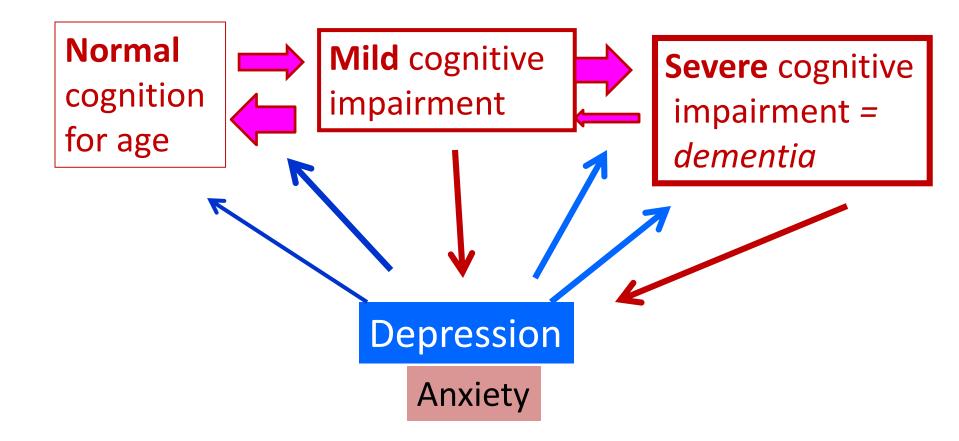
*Ownby et al., 2006.* 

- Interval between diagnoses of depression and AD was positively related to increased risk of AD.
- Assuming that MCI may be the earliest identifiable clinical state of dementia, depressive symptoms may be an early manifestation rather than a risk factor for dementia and Alzheimer's disease.

## Anxiety may mean different things

- Recent onset, acute anxiety was associated with non-amnestic MCI;
- Chronic, severe anxiety was associated with all forms of MCI;
- Chronic, mild worry was <u>not</u> associated with MCI

– Andreescu et al., 2013



One way to resolve the apparent inconsistencies

- Major depression in early life, and
- Recurrent major depression and chronic anxiety throughout life,

may be independent **risk factors** for dementia.

 New depression/anxiety occurring in late life is more likely to be an early manifestation or prodrome of a dementing disease.

## Possible mechanisms-1

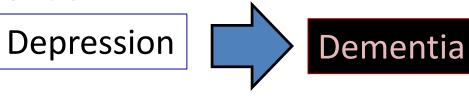
#### **1. Glucocorticoid theory:**

## Major depression and anxiety is associated with **cortisol elevation**.

# Sustained hypercortisolaemia is toxic to the **hippocampus**.

#### In these patients, the hippocampus becomes particularly vulnerable to neurodegenerative pathology in old age.

# Here, depression is an independent risk factor for dementia.



#### Some Data

- Longer durations of untreated depressive episodes were associated with reduced hippocampal volume.
- No significant relationship between hippocampal volume loss and time depressed while taking antidepressant medication, or with lifetime exposure to antidepressants.
- Antidepressants may have a neuroprotective effect during depression.

Sheline et al., 2003

#### More data

Postmortem Study;

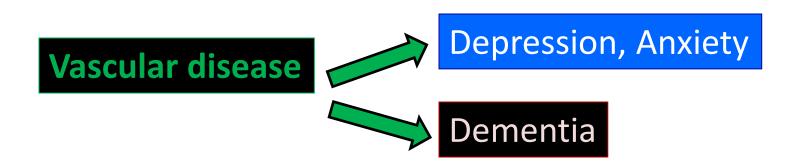
Brains of 102 deceased nursing home patients, all with Alzheimer's disease, half of whom had lifetime history of major depression

In AD, the presence of a **lifetime history of depression** corresponds to increases in ADrelated neuropathological changes in the hippocampus.

Rapp et al., 2006

## Possible mechanism -2.

- With increasing age, there is increasing cerebrovascular (blood vessel) pathology in the brain.
- "Vascular depression" can accompany "vascular cognitive impairment/vascular dementia."
- Here, depression <u>is not</u> an independent risk factor for dementia.



#### Data

- Prospective study of 3837 primary care patients with diabetes
- Patients with *major depression plus diabetes* had an increased risk of developing dementia compared to those with diabetes alone.
- These data add to recent findings showing that depression was associated with an increased risk of macrovascular and microvascular complications in patients with diabetes.

Katon et al., 2010

## Possible mechanism - 3

- Neurodegenerative disease causes cognitive impairment/dementia.
- Neurodegenerative disease also causes apathy, anxiety, depression, and other behavioral disturbance.
- These behavioral disturbances precede or accompany MCI and may be the first sign of the dementia.

Neurodegeneration



#### Some Data

Cross-sectional study of homebound elderly using AD biomarkers Aβ40 and Aβ42

- Patients with depression had lower plasma Aβ42 levels and a higher ratio of Aβ40: Aβ42 than those without depression.
- "Amyloid-associated depression" was associated with greater memory impairment than nonamyloid associated depression.
- "Amyloid-associated depression" may be a prodrome of AD.

Sun et al., 2008

## Another set of ideas

#### Homeostasis:

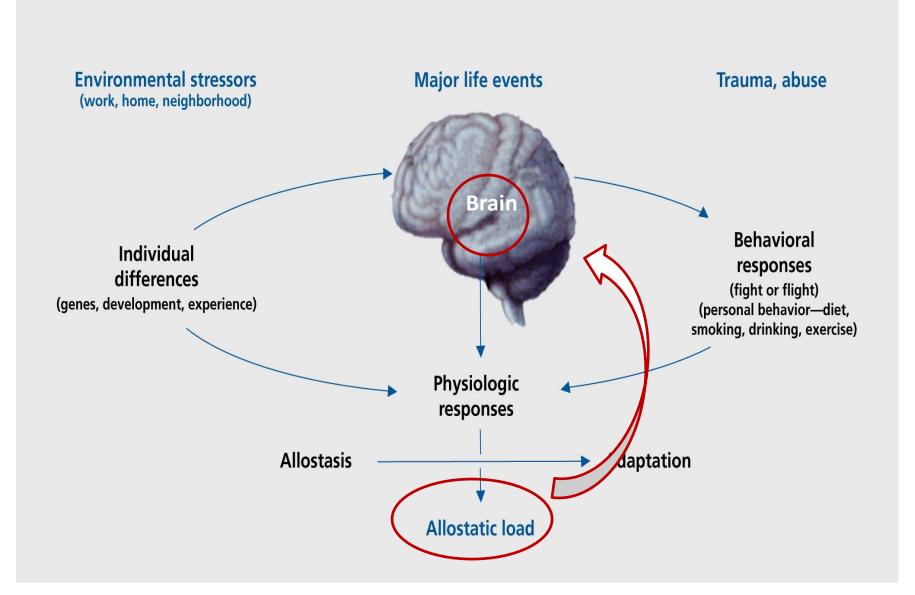
• A state of everything being stable in the body.

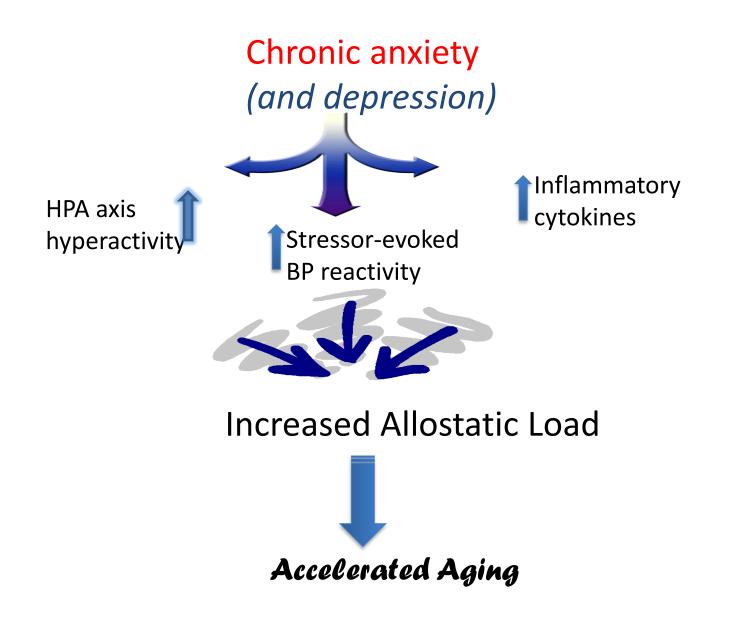
#### Allostasis:

 The body's physiological efforts to keep everything stable (to maintain homeostasis) in the face of challenges and change.

#### Allostatic Load:

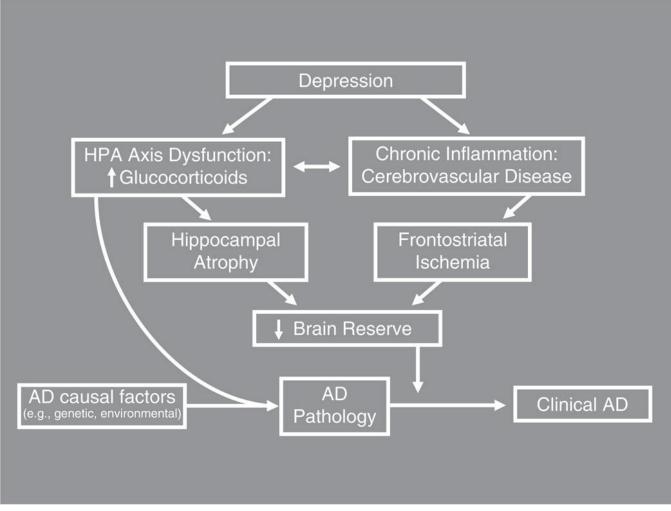
- The "wear and tear" negative consequences on the body of trying to keep everything stable through allostasis.
- Reflected by effects like inflammation, increased cortisol, cardiovascular risk.





Andreescu C, unpublished, 2015

## **Combining all models**



Koenig, Bhalla, and Butters, JINS 2014

## Conclusion

- Depression/Anxiety and Dementia frequently occur in the same people.
- The direction of causality may vary.
- Early onset depression and chronic anxiety may be risk factors for dementia.
- Adequate treatment for depression and anxiety may have a protective effect.
- Late onset depression and anxiety may be an early manifestation of the same disease (degenerative or vascular) that is causing the dementia.

#### Take-home message

- Don't walk around being anxious or depressed at any age.
  - Get help:
    - Medication
    - Talk therapy
    - Exercise
    - Meditate
    - Maintain physically and socially active lifestyle
- You'll feel better
- You <u>may</u> also reduce your risk of dementia.